

**2007 FOR PROFIT CORPORATION ANNUAL REPORT**

APPROVAL AND FILED

07 APR 27 PM 2:56

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

*File 1086*



04232007 No Chg-P CR2E034 (11/05)

4. FEI Number 13-5303710	Applied For
	Not Applicable
5. Certificate of Status Desired <input type="checkbox"/> \$8.75 Additional Fee Required	

DOCUMENT # 801165  
1. Entity Name  
AIU INSURANCE COMPANY



Principal Place of Business 70 PINE STREET NEW YORK, NY 10270 US	Mailing Address 70 PINE STREET 30TH FLOOR NEW YORK, NY 10270 US
--	--

**DO NOT WRITE IN THIS SPACE**

6. Name and Address of Current Registered Agent  
  
THE PRENTICE-HALL CORPORATION SYSTEM, INC.  
1201 HAYS STREET  
TALLAHASSEE, FL 32301

**DO NOT WRITE IN THIS SPACE**

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE \_\_\_\_\_ DATE \_\_\_\_\_  
Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating)

**FILE NOW!!! FEE IS \$150.00  
After May 1, 2007 Fee will be \$550.00**

9. Election Campaign Financing Trust Fund Contribution.  \$5.00 May Be Added to Fees

*See attached*

10. OFFICERS AND DIRECTORS	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	PD MOOR, KRISTIAN P COB 175 WATER STREET NEW YORK, NY 10038
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VPD NEUGER, WIN J 70 PINE STREET NEW YORK, NY 10270
TITLE NAME STREET ADDRESS CITY-ST-ZIP	SVP SCHADER, CHARLES R 70 PINE STREET NEW YORK, NY 10270
TITLE NAME STREET ADDRESS CITY-ST-ZIP	S TUCK, ELIZABETH M 70 PINE STREET NEW YORK, NY 10270
TITLE NAME STREET ADDRESS CITY-ST-ZIP	SVPT SCHIMEK, ROBERT S D 175 WATER STREET NEW YORK, NY 10038
TITLE NAME STREET ADDRESS CITY-ST-ZIP	

400099057674

**DO NOT WRITE IN THIS SPACE**

12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: *Elizabeth M Tuck* Date: *4/24/07*  
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #

Elizabeth M Tuck

# Directors / Officers Report

As of 4/26/2007

## AIU Insurance Company

Address for all: 70 Pine Street  
New York, NY 10270

### Directors

		<b>Effective</b>
Peter David Aiema	Director	1/11/2007
James Bracken	Director	1/11/2007
Michael John Festo	Director	1/17/2007
Paolo Silvio Grassi	Director	1/11/2007
Raymond Erroll Lee	Director	1/11/2007
Robert Edward Lewis	Director	10/7/2005
Ralph William Mucicino	Director	1/11/2007
Robert Laurence Noddin	Director	1/11/2007
Julio Alfonso Portalatin	Director	1/11/2007
Michael Lee Sherman	Director	1/11/2007
Robert John Thomas	Director	1/11/2007
Nicholas Shaw Tyler	Director	5/15/1990
Nicholas Charles Walsh	Director	11/15/2004

### Officers

	<b>Effective</b>
Nicholas Charles Walsh	12/20/2006
Nicholas Charles Walsh	12/20/2006
Nicholas Charles Walsh	12/20/2006
Nicholas Shaw Tyler	12/20/2006
David Stephen Hawksby	12/20/2006


Chairman of the Board of Directors

President

Chief Executive Officer

Chief Financial Officer

Senior Executive Vice President



# Directors / Officers Report

As of 4/26/2007

## AIU Insurance Company

Raymond Erroll Lee	Senior Executive Vice President	12/20/2006
Ralph William Mucerino	Senior Executive Vice President	12/20/2006
Paul Obolensky	Senior Executive Vice President	12/20/2006
Julio Alfonso Portalatin	Senior Executive Vice President	12/20/2006
Michael Lee Sherman	Senior Executive Vice President	12/20/2006
Nicholas Shaw Tyler	Senior Executive Vice President	12/20/2006
Peter David Aiema	Executive Vice President	12/20/2006
Stephen Daum Campbell	Executive Vice President	12/20/2006
Robert John Thomas	Executive Vice President	12/20/2006
Ronald James Anderson	Senior Vice President	12/20/2006
James Bracken	Senior Vice President	12/20/2006
Charles H. Dangelo	Senior Vice President	10/17/2005
Frank Hienmen Douglas Jr.	Senior Vice President	3/7/1996
Michael John Festo	Senior Vice President	12/20/2006
Joseph Stephen Gravier	Senior Vice President	12/20/2006
Jay Blair Morrow	Senior Vice President	12/20/2006
Robert Laurence Noddin	Senior Vice President	12/20/2006
Robert Scott Higgins Schimek	Senior Vice President	10/17/2005
Timothy Rupert Nicholas Acres	Vice President	12/20/2006
Glen Max Bronstein	Vice President	2/27/2007
Esta Lee Cain	Vice President	2/27/2007

396

# Directors / Officers Report

As of 4/26/2007

## AIU Insurance Company

Gail Mary Culleton	Vice President	12/20/2006
Laura Grace Fahsbender	Vice President	12/20/2006
Paolo Silvio Grassi	Vice President	12/20/2006
Richard Thomas Pisano	Vice President	5/13/2002
James C. Roberts	Vice President	5/23/2006
Stephen Joseph Ruff	Vice President	12/20/2006
Richard Stanley Skolnik	Vice President	12/20/2006
Edward Francis Andrzejewski	Assistant Vice President	5/29/1996
Brian J. Reed	Assistant Vice President	9/18/2006
Paolo Silvio Grassi	General Counsel	12/20/2006
Todd S. Cohen	Deputy General Counsel	12/20/2006
Elizabeth Margaret Tuck	Secretary	1/28/1991
Victoria Helen Bozovic	Assistant Secretary	12/20/2006
Amy Marie Cinquegrana	Assistant Secretary	3/15/2004
Robert Scott Higgins Schimek	Treasurer	10/17/2005
Stephen Joseph Ruff	Comptroller	12/20/2006
Richard Thomas Pisano	Assistant Comptroller	12/20/2006
Saira Jesrai	Chief Compliance Officer	12/20/2006
Frank Hienmen Douglas Jr.	Actuary	5/14/1985

486

**AIU Insurance Company**

**Attorney**

Peter Hammer	Attorney-in-Fact - Japan	<b>Effective</b> 3/26/1984
Tadashi Kanamori	Attorney-in-Fact - Japan	3/26/1984
Mitsuo Nakamura	Attorney-in-Fact - Japan	3/26/1984
Takaki Sakai	Attorney-in-Fact - Japan	3/26/1984

**Committee Member**

Michael John Festo	Executive Committee	<b>Effective</b> 1/17/2007
Nicholas Shaw Tyler	Executive Committee	1/17/2007
Nicholas Charles Walsh	Executive Committee	1/17/2007

5086



CORPORATION SERVICE COMPANY

6026

ACCOUNT NO. : 072100000032  
 REFERENCE : 869012 4320171  
 AUTHORIZATION : *[Signature]*  
 COST LIMIT : \$ 150.00

ORDER DATE : April 25, 2007  
 ORDER TIME : 1:24 PM  
 ORDER NO. : 869012-185  
 CUSTOMER NO: 4320171

ANNUAL REPORT FILING

NAME: AIU INSURANCE COMPANY  
 FL 2007

XX ANNUAL REPORT

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

- CERTIFIED COPY
- XX PLAIN STAMPED COPY
- CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Sara Lea - Ext. 2914

EXAMINER'S INITIALS: *SLC*  
 4/27/07

RECEIVED  
 DEPARTMENT OF STATE  
 DIVISION OF CORPORATIONS  
 2007 APR 27 AM 8:47  
 NOT RECORDED  
 TO ACKNOWLEDGE  
 SUFFICIENCY OF FILING