## ·2000 UNIFORM BUSINESS REPORT (UBR)

## FILED Apr 12, 2000 8:00 am Secretary of State **DOCUMENT # 821510** 1. Entity Name DB RILEY, INC. 04-12-2000 90157 003 \*\*\*150.00 Principal Place of Business Mailing Address **5 NEPONSET STREET** 5 NEPONSET STREET WORCESTER MASSACHUSETTS 01606 WORCESTER MASSACHUSETTS 01606-2714 2. Principal Place of Business 3. Mailing Address Suite, Apt. #, etc. Suite, Apt. #, etc. DO NOT WRITE IN THIS SPACE City & State Applied For City & State 4. FEI Number 04-1774910 Not Applicable Zip Country Zip Country \$8.75 Additional 5. Certificate of Status Desired Fee Required 7. Name and Address of New Registered Agent 6. Name and Address of Current Registered Agent Name CT CORPORATION SYSTEM Street Address (P.O. Box Number is Not Acceptable) 1200 S. PINE ISLAND ROAD PLANTATION FL 33324 Zip Code FL 8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. SIGNATURE DATE Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating) FILE NOW!!! FEE IS \$150.00 9. This corporation is eligible to satisfy its Intangible 10. Election Campaign Financing \$5.00 May Be After MAY 1, 2000 Fee will be \$550.00 Tax filing requirement and elects to do so Trust Fund Contribution. Added to Fees (See criteria on back) Make Check Payable to Department of State OFFICERS AND DIRECTORS ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11 12. 11. Addition VPSD. ☐ Delete TITLE TITLE NAME NAME BRANTL, JAMES S See Attached listing STREET ADDRESS STREET ADDRESS **5 NEPONSET STREET** CITY-ST-ZIP CITY-ST-ZIP **WORCESTER MA** ☐ Addition Change ☐ Delete TITLE TITLE AS NAME KING. TANCRED I NAME STREET ADDRESS STREET ADDRESS **5 NEPONSET STREET** CITY-ST-ZIP CITY-ST-7IP **WORCESTER MA** Change Addition PD □ Delete TITLE NAME HALLORAN, JOHN J NAME STREET ADDRESS STREET ADDRESS **5 NEPONSET STREET** CITY-ST-ZIP CITY-ST-ZIP WORCESTER MA ☐ Change ☐ Addition ☐ Delete TITLE TITLE NAME ZICCONI, JOHN B NAME STREET ADDRESS STREET ADDRESS 5 NEPONSET ST. CITY-ST-ZIP CITY-ST-ZIP WORCESTER MA Change Addition ☐ Delete TITLE TITLE NAME NAME STREET ADDRESS STREET ADDRESS CITY-ST-ZIP CITY-ST-ZIP ☐ Change ☐ Addition TITLE

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address with all other like empowered.

NAME

STREET ADDRESS

CITY-ST-ZIP

SIGNATURE:

TITLE

NAME

SUBJECT ADDRESS

CITY-ST-ZIP

AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

□ Delete

John B. Zicconi

Treasurer 4/3/2000

508-852-7100

#821510 Anv37998 Attachment

## DB RILEY, INC.

FED ID NO: 04-1774910

## **OFFICERS & DIRECTORS**

| OFFICERS                | TITLE                       | <u>ADDRESS</u>                           |
|-------------------------|-----------------------------|--|
| John J. Halloran        | President                   | 5 Neponset Street<br>Worcester, MA 01606 |
| James S. Brantl         | Vice President<br>Secretary | 5 Neponset Street<br>Worcester, MA 01606 |
| Philip J. Hunt          | Vice President              | 5 Neponset Street<br>Worcester, MA 01606 |
| William J. Fidurko      | Vice President              | 5 Neponset Street<br>Worcester, MA 01606 |
| Bruce S. Leblanc        | Vice President              | 5 Neponset Street<br>Worcester, MA 01606 |
| W. Burke Allred         | Vice President              | 5 Neponset Street<br>Worcester, MA 01606 |
| Joseph A. Langone       | Vice President              | 5 Neponset Street<br>Worcester, MA 01606 |
| Hans-Ulrich Hartensteir | ı Vice President            | 5 Neponset Street<br>Worcester, MA 01606 |
| John B. Zicconi         | Treasurer<br>Controller     | 5 Neponset Street<br>Worcester, MA 01606 |
| Tancred I. King         | Assistant Secretary         | 5 Neponset Street<br>Worcester, MA 01606 |
| DIRECTORS               |                             | •  |
| John J. Halloran        | `                           | 5 Neponset Street<br>Worcester, MA 01606 |
| James S. Brantl         |                             | 5 Neponset Street<br>Worcester, MA 01606 |
| John B. Zicconi         |                             | 5 Neponset Street                        |

Worcester, MA 01606