

**2014 FOREIGN PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# 823791

**FILED**  
**Apr 28, 2014**  
**Secretary of State**  
**CC5889535478**

**Entity Name:** UBS FINANCIAL SERVICES INC.

**Current Principal Place of Business:**

1200 HARBOR BOULEVARD  
WEEHAWKEN, NJ 07086

**Current Mailing Address:**

1200 HARBOR BOULEVARD  
WEEHAWKEN, NJ 07086

**FEI Number:** 13-2638166

**Certificate of Status Desired:** No

**Name and Address of Current Registered Agent:**

CORPORATION SERVICE COMPANY  
1201 HAYS STREET  
TALLAHASSEE, FL 32301 US

*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.*

**SIGNATURE:** \_\_\_\_\_

Electronic Signature of Registered Agent

\_\_\_\_\_ Date

**Officer/Director Detail :**

Title DIRECTOR  
Name BROWN, JOHN J  
Address 1200 HARBOR BOULEVARD  
City-State-Zip: WEEHAWKEN NJ 07086

Title DIRECTOR  
Name MCCANN, ROBERT J  
Address 1200 HARBOR BOULEVARD  
City-State-Zip: WEEHAWKEN NJ 07086

Title TREASURER, CFO  
Name FREY, WILLIAM P  
Address 1200 HARBOR BOULEVARD  
City-State-Zip: WEEHAWKEN NJ 07086

Title SECRETARY  
Name KING, TAMBRA S  
Address 1200 HARBOR BOULEVARD  
City-State-Zip: WEEHAWKEN NJ 07086

Title PRESIDENT, CEO, DIRECTOR  
Name MULHOLLAND, ROBERT E  
Address 1200 HARBOR BOULEVARD  
City-State-Zip: WEEHAWKEN NJ 07086

Title DIRECTOR  
Name BERKERY, ROSEMARY T  
Address 1200 HARBOR BOULEVARD  
City-State-Zip: WEEHAWKEN NJ 07086

Title DIRECTOR  
Name HULL, BRIAN P  
Address 1200 HARBOR BOULEVARD  
City-State-Zip: WEEHAWKEN NJ 07086

Title DIRECTOR  
Name SANDS, ANITA M  
Address 1200 HARBOR BOULEVARD  
City-State-Zip: WEEHAWKEN NJ 07086

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*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

**SIGNATURE:** TAMBRA S. KING

**SECRETARY**

**04/28/2014**

\_\_\_\_\_  
Electronic Signature of Signing Officer/Director Detail

\_\_\_\_\_  
Date

**Officer/Director Detail Continued :**

Title           DIRECTOR  
Name           POLITO, PAULA D  
Address        1200 HARBOR BOULEVARD  
City-State-Zip: WEEHAWKEN NJ 07086

Title           DIRECTOR  
Name           DALBY, TIMOTHY JOHN  
Address        1200 HARBOR BOULEVARD  
City-State-Zip: WEEHAWKEN NJ 07086