

2018 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# 823791

Entity Name: UBS FINANCIAL SERVICES INC.

Current Principal Place of Business:

1200 HARBOR BOULEVARD
WEEHAWKEN, NJ 07086

Current Mailing Address:

1200 HARBOR BOULEVARD
WEEHAWKEN, NJ 07086

FEI Number: 13-2638166

Certificate of Status Desired: No

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 32301 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Officer/Director Detail :

Title DIRECTOR
Name CHANDLER, JASON R.
Address 1200 HARBOR BOULEVARD
City-State-Zip: WEEHAWKEN NJ 07086

Title DIRECTOR, CFO
Name FAINSBERT, AMY E.
Address 1200 HARBOR BOULEVARD
City-State-Zip: WEEHAWKEN NJ 07086

Title DIRECTOR, CHIEF COMPLIANCE OFFICER
Name HANDELSMAN, SHARYN
Address 1200 HARBOR BOULEVARD
City-State-Zip: WEEHAWKEN NJ 07086

Title DIRECTOR, PRESIDENT
Name HULL, BRIAN P.
Address 1200 HARBOR BOULEVARD
City-State-Zip: WEEHAWKEN NJ 07086

Title DIRECTOR, COO
Name LYNCH, KATHLEEN
Address 1200 HARBOR BOULEVARD
City-State-Zip: WEEHAWKEN NJ 07086

Title DIRECTOR
Name BERKERY, ROSEMARY T.
Address 1200 HARBOR BOULEVARD
City-State-Zip: WEEHAWKEN NJ 07086

Title DIRECTOR
Name POLITO, PAULA D.
Address 1200 HARBOR BOULEVARD
City-State-Zip: WEEHAWKEN NJ 07086

Title SECRETARY
Name CONNORS, JOHN
Address 1200 HARBOR BOULEVARD
City-State-Zip: WEEHAWKEN NJ 07086

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I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: JOHN CONNORS

SECRETARY

02/01/2018

Electronic Signature of Signing Officer/Director Detail

Date

Officer/Director Detail Continued :

Title TREASURER, DIRECTOR
Name BOYLAN, PAUL
Address 1200 HARBOR BOULEVARD
City-State-Zip: WEEHAWKEN NJ 07086

Title DIRECTOR
Name HESSION, MINA
Address 1200 HARBOR BOULEVARD
City-State-Zip: WEEHAWKEN NJ 07086

Title DIRECTOR
Name GRAU, JOSE H.
Address 1200 HARBOR BOULEVARD
City-State-Zip: WEEHAWKEN NJ 07086

Title DIRECTOR
Name HECKLER, MARGARET
Address 1200 HARBOR BOULEVARD
City-State-Zip: WEEHAWKEN NJ 07086

Title DIRECTOR
Name MATUZ, JUDIT
Address 1200 HARBOR BOULEVARD
City-State-Zip: WEEHAWKEN NJ 07086

Title DIRECTOR
Name TORRES, SARA
Address 1200 HARBOR BOULEVARD
City-State-Zip: WEEHAWKEN NJ 07086