

**2020 FOREIGN PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# 823791

**FILED  
Apr 28, 2020  
Secretary of State  
3016851092CC**

**Entity Name:** UBS FINANCIAL SERVICES INC.

**Current Principal Place of Business:**

1200 HARBOR BOULEVARD  
WEEHAWKEN, NJ 07086

**Current Mailing Address:**

1200 HARBOR BOULEVARD  
WEEHAWKEN, NJ 07086

**FEI Number:** 13-2638166

**Certificate of Status Desired:** No

**Name and Address of Current Registered Agent:**

CORPORATION SERVICE COMPANY  
1201 HAYS STREET  
TALLAHASSEE, FL 32301 US

*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.*

**SIGNATURE:**

\_\_\_\_\_  
Electronic Signature of Registered Agent

\_\_\_\_\_  
Date

**Officer/Director Detail :**

Title            PRESIDENT, GROUP MANAGING  
                  DIRECTOR  
Name            CHANDLER, JASON R.  
Address        1200 HARBOR BOULEVARD  
City-State-Zip: WEEHAWKEN NJ 07086

Title            SECRETARY  
Name            CONNORS, JOHN  
Address        1200 HARBOR BOULEVARD  
City-State-Zip: WEEHAWKEN NJ 07086

Title            TREASURER, DIRECTOR  
Name            BOYLAN, PAUL  
Address        1200 HARBOR BOULEVARD  
City-State-Zip: WEEHAWKEN NJ 07086

Title            DIRECTOR, ASSISTANT SECRETARY  
Name            HECKLER, MARGARET  
Address        1200 HARBOR BOULEVARD  
City-State-Zip: WEEHAWKEN NJ 07086

Title            SECRETARY  
Name            HESSION, MINA  
Address        1200 HARBOR BOULEVARD  
City-State-Zip: WEEHAWKEN NJ 07086

Title            DIRECTOR  
Name            GRAU, JOSE H.  
Address        1200 HARBOR BOULEVARD  
City-State-Zip: WEEHAWKEN NJ 07086

*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

**SIGNATURE:** MINA HESSION

**SECRETARY**

**04/28/2020**

\_\_\_\_\_  
Electronic Signature of Signing Officer/Director Detail

\_\_\_\_\_  
Date