

**2015 FOREIGN PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# 833743

**FILED**  
**Jan 13, 2015**  
**Secretary of State**  
**CC9546141807**

**Entity Name:** COMMONWEALTH ANNUITY AND LIFE INSURANCE COMPANY

**Current Principal Place of Business:**

132 TURNPIKE ROAD  
SUITE 210  
SOUTHBOROUGH, MA 01772

**Current Mailing Address:**

132 TURNPIKE ROAD  
SUITE 210  
SOUTHBOROUGH, MA 01772

**FEI Number:** 04-6145677

**Certificate of Status Desired:** No

**Name and Address of Current Registered Agent:**

CHIEF FINANCIAL OFFICER  
1200 SOUTH PINE ISLAND ROAD  
PLANTATION, FL 33324 US

*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.*

**SIGNATURE:**

\_\_\_\_\_  
Electronic Signature of Registered Agent

\_\_\_\_\_  
Date

**Officer/Director Detail :**

Title DIRECTOR, EVP AND CRO  
Name LEE, HANBEN K  
Address 7 WORLD TRADE CENTER  
250 GREENWICH STREET  
City-State-Zip: NEW YORK NY 10007

Title VP, SIO AND CCO  
Name WALLIN, MARGOT K  
Address 132 TURNPIKE ROAD, SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP, CFO AND TREASURER  
Name FOWLER, JOHN J  
Address 132 TURNPIKE ROAD, SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP AND COO  
Name VOLCY, JOEL  
Address 132 TURNPIKE ROAD, SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772

Title DIRECTOR, PRESIDENT AND CEO  
Name VON MOLTKE, NICHOLAS H  
Address 132 TURNPIKE ROAD, SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP, GC AND SECRETARY  
Name SILVERMAN, SCOTT D  
Address 132 TURNPIKE ROAD, SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772

Title DIRECTOR  
Name LEVINE, ALLAN S  
Address 7 WORLD TRADE CENTER  
250 GREENWICH STREET  
City-State-Zip: NEW YORK NY 10007

Title DIRECTOR  
Name REDGATE, KATHLEEN M  
Address 7 WORLD TRADE CENTER  
250 GREENWICH STREET  
City-State-Zip: NEW YORK NY 10007

**Continues on page 2**

*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

SIGNATURE: VIRGINIA JOHNSON

VICE PRESIDENT,  
ASSISTANT GENERAL  
COUNSEL, ASSISTANT  
SECRETARY

01/13/2015

**Officer/Director Detail Continued :**

Title DIRECTOR  
 Name SPENCER, RICHARD V  
 Address 6400 HECK OF A HILL ROAD  
 City-State-Zip: WILSON WY 83014

Title DIRECTOR  
 Name REARDON, MICHAEL A  
 Address 3200 SOUTHWEST FREEWAY  
 SUITE 1300  
 City-State-Zip: HOUSTON TX 77027

Title SVP  
 Name HENDRY, BRIAN M  
 Address 132 TURNPIKE ROAD, SUITE 210  
 City-State-Zip: SOUTHBOROUGH MA 01772

Title VP AND CHIEF ACTUARY  
 Name EGAN, ROBERT J  
 Address 132 TURNPIKE ROAD, SUITE 210  
 City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP  
 Name WINAWER, ROBERT E  
 Address 132 TURNPIKE ROAD, SUITE 210  
 City-State-Zip: SOUTHBOROUGH MA 01772

Title VP, ASST GC AND ASST SECRETARY  
 Name JOHNSON, VIRGINIA H  
 Address 132 TURNPIKE ROAD, SUITE 210  
 City-State-Zip: SOUTHBOROUGH MA 01772

Title SENIOR VICE PRESIDENT, CFO, TREASURER  
 Name FOWLER, JOHN J  
 Address 132 TURNPIKE ROAD  
 SUITE 210  
 City-State-Zip: SOUTHBOROUGH MA 01772

Title SENIOR VICE PRESIDENT  
 Name SHERRILL, PHILIP  
 Address 132 TURNPIKE ROAD  
 SUITE 210  
 City-State-Zip: SOUTHBOROUGH MA 01772

Title VP  
 Name MACNEIL, JUSTIN  
 Address 132 TURNPIKE ROAD  
 SUITE 210  
 City-State-Zip: SOUTHBOROUGH MA 01772

Title 38A-1 CHIEF COMPLIANCE OFFICER  
 Name GIOIA, ELIZABETH

Title DIRECTOR, EVP AND CIO  
 Name DELLAERT, GILLES M  
 Address 7 WORLD TRADE CENTER  
 250 GREENWICH STREET  
 City-State-Zip: NEW YORK NY 10007

Title EVP  
 Name SEROKA, PETER W  
 Address 132 TURNPIKE ROAD, SUITE 210  
 City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP  
 Name HECHT, JONATHAN  
 Address 7 WORLD TRADE CENTER  
 250 GREENWICH STREET  
 City-State-Zip: NEW YORK NY 01772

Title SVP AND CONTROLLER  
 Name GROSSO, JANE S  
 Address 132 TURNPIKE ROAD, SUITE 210  
 City-State-Zip: SOUTHBOROUGH MA 01772

Title VP, ASST GC AND ASST SECRETARY  
 Name ST. HILAIRE, SHEILA B  
 Address 132 TURNPIKE ROAD, SUITE 210  
 City-State-Zip: SOUTHBOROUGH MA 01772

Title EXECUTIVE VICE PRESIDENT,  
 GENERAL COUNSEL, SECRETARY  
 Name RAMOS, SAMUEL  
 Address 7 WORLD TRADE CENTER  
 250 GREENWICH STREET  
 City-State-Zip: NEW YORK NY 10007

Title SENIOR VICE PRESIDENT  
 Name MISHRA, DEVA  
 Address 7 WORLD TRADE CENTER  
 250 GREENWICH STREET  
 City-State-Zip: NEW YORK NY 10007

Title VICE PRESIDENT AND PRODUCT  
 ACTUARY  
 Name LEAVEY, KEVIN  
 Address 132 TURNPIKE ROAD  
 SUITE 210  
 City-State-Zip: SOUTHBOROUGH MA 01772

Title VP  
 Name ROACH, JASON  
 Address 132 TURNPIKE ROAD  
 SUITE 210  
 City-State-Zip: SOUTHBOROUGH MA 01772

Address 132 TURNPIKE ROAD  
SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772  
Title VP, ASSISTATN GENERAL COUNSEL,  
ASSISTANT SECRETARY  
Name KIMMERLING, KEVIN  
Address 132 TURNPIKE ROAD  
SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772  
Title VP  
Name ZABLOCKI, VALERIE  
Address 132 TURNPIKE ROAD  
SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772  
Title VP  
Name ANTONUCCIO, GREGORY  
Address 132 TURNPIKE ROAD  
SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772  
Title VP  
Name IZZO, JASON  
Address 132 TURNPIKE ROAD  
SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772

Title VP, ASSISTANT GENERAL COUNSEL,  
ASSISTANT SECRETARY  
Name SILBER, GARY  
Address 7 WORLD TRADE CENTER  
250 GREENWICH STREET  
City-State-Zip: NEW YORK NY 10007  
Title VP, MARKETING-403(B)  
Name O'NEILL, MICHAEL  
Address 132 TURNPIKE ROAD  
SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772  
Title VICE PRESIDNET  
Name SALVI, BRIAN  
Address 132 TURNPIKE ROAD  
SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772  
Title VP  
Name BYERS, ANDREW  
Address 132 TURNPIKE ROAD  
SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772