

2016 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# 833743

FILED
Jan 13, 2016
Secretary of State
CC0917987327

Entity Name: COMMONWEALTH ANNUITY AND LIFE INSURANCE COMPANY

Current Principal Place of Business:

132 TURNPIKE ROAD
SUITE 210
SOUTHBOROUGH, MA 01772

Current Mailing Address:

132 TURNPIKE ROAD
SUITE 210
SOUTHBOROUGH, MA 01772

FEI Number: 04-6145677

Certificate of Status Desired: No

Name and Address of Current Registered Agent:

CHIEF FINANCIAL OFFICER
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Officer/Director Detail :

Title DIRECTOR, EVP

Name LEE, HANBEN K

Address 7 WORLD TRADE CENTER
250 GREENWICH STREET

City-State-Zip: NEW YORK NY 10007

Title VP, SIO AND CCO

Name WALLIN, MARGOT K

Address 132 TURNPIKE ROAD, SUITE 210

City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP, CFO AND TREASURER

Name FOWLER, JOHN J

Address 132 TURNPIKE ROAD, SUITE 210

City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP AND COO

Name VOLCY, JOEL

Address 132 TURNPIKE ROAD, SUITE 210

City-State-Zip: SOUTHBOROUGH MA 01772

Title DIRECTOR, PRESIDENT AND CEO

Name VON MOLTKE, NICHOLAS H

Address 132 TURNPIKE ROAD, SUITE 210

City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP AND ASSISTANT SECRETARY

Name SILVERMAN, SCOTT D

Address 132 TURNPIKE ROAD, SUITE 210

City-State-Zip: SOUTHBOROUGH MA 01772

Title DIRECTOR

Name LEVINE, ALLAN S

Address 7 WORLD TRADE CENTER
250 GREENWICH STREET

City-State-Zip: NEW YORK NY 10007

Title DIRECTOR

Name REDGATE, KATHLEEN M

Address 7 WORLD TRADE CENTER
250 GREENWICH STREET

City-State-Zip: NEW YORK NY 10007

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I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: NICHOLAS H. VON MOLTKE

PRESIDENT AND CEO

01/13/2016

Electronic Signature of Signing Officer/Director Detail

Date

Officer/Director Detail Continued :

Title DIRECTOR
Name SPENCER, RICHARD V
Address 6400 HECK OF A HILL ROAD
City-State-Zip: WILSON WY 83014

Title DIRECTOR
Name REARDON, MICHAEL A
Address 3200 SOUTHWEST FREEWAY
SUITE 1300
City-State-Zip: HOUSTON TX 77027

Title SVP
Name HECHT, JONATHAN
Address 7 WORLD TRADE CENTER
250 GREENWICH STREET
City-State-Zip: NEW YORK NY 01772

Title SVP AND CONTROLLER
Name GROSSO, JANE S
Address 132 TURNPIKE ROAD, SUITE 210
City-State-Zip: SOUTHBOROUGH MA 01772

Title VP, ASST GC AND ASST SECRETARY
Name JOHNSON, VIRGINIA H
Address 132 TURNPIKE ROAD, SUITE 210
City-State-Zip: SOUTHBOROUGH MA 01772

Title SENIOR VICE PRESIDENT, CFO, TREASURER
Name FOWLER, JOHN J
Address 132 TURNPIKE ROAD
SUITE 210
City-State-Zip: SOUTHBOROUGH MA 01772

Title SENIOR VICE PRESIDENT
Name SHERRILL, PHILIP
Address 132 TURNPIKE ROAD
SUITE 210
City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP
Name MACNEIL, JUSTIN
Address 132 TURNPIKE ROAD
SUITE 210
City-State-Zip: SOUTHBOROUGH MA 01772

Title VP AND 38A-1 CHIEF COMPLIANCE OFFICER
Name GIOIA, ELIZABETH
Address 132 TURNPIKE ROAD
SUITE 210
City-State-Zip: SOUTHBOROUGH MA 01772

Title VP, ASSISTANT GENERAL COUNSEL,
ASSISTANT SECRETARY
Name KIMMERLING, KEVIN

Title DIRECTOR, EVP AND CIO
Name DELLAERT, GILLES M
Address 7 WORLD TRADE CENTER
250 GREENWICH STREET
City-State-Zip: NEW YORK NY 10007

Title SVP
Name HENDRY, BRIAN M
Address 132 TURNPIKE ROAD, SUITE 210
City-State-Zip: SOUTHBOROUGH MA 01772

Title VP AND CHIEF ACTUARY
Name EGAN, ROBERT J
Address 132 TURNPIKE ROAD, SUITE 210
City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP
Name WINAWER, ROBERT E
Address 132 TURNPIKE ROAD, SUITE 210
City-State-Zip: SOUTHBOROUGH MA 01772

Title EXECUTIVE VICE PRESIDENT,
GENERAL COUNSEL, SECRETARY
Name RAMOS, SAMUEL
Address 7 WORLD TRADE CENTER
250 GREENWICH STREET
City-State-Zip: NEW YORK NY 10007

Title SENIOR VICE PRESIDENT
Name MISHRA, DEVA
Address 7 WORLD TRADE CENTER
250 GREENWICH STREET
City-State-Zip: NEW YORK NY 10007

Title VICE PRESIDENT AND PRODUCT
ACTUARY
Name LEAVEY, KEVIN
Address 132 TURNPIKE ROAD
SUITE 210
City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP
Name ROACH, JASON
Address 132 TURNPIKE ROAD
SUITE 210
City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP, ASSISTANT GENERAL
COUNSEL, ASSISTANT SECRETARY
Name SILBER, GARY
Address 7 WORLD TRADE CENTER
250 GREENWICH STREET
City-State-Zip: NEW YORK NY 10007

Title CRO
Name CAI, PETER

Address 132 TURNPIKE ROAD
SUITE 210
City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP
Name ANDERSON, CRAIG
Address 132 TURNPIKE ROAD
SUITE 210
City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP
Name BUONO, MARK
Address 132 TURNPIKE ROAD
SUITE 210
City-State-Zip: SOUTHBOROUGH MA 01772

Address 132 TURNPIKE ROAD
SUITE 210
City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP
Name BAUER, KATHY
Address 132 TURNPIKE ROAD
SUITE 210
City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP
Name PENTIKIS, DEAN
Address 132 TURNPIKE ROAD
SUITE 210
City-State-Zip: SOUTHBOROUGH MA 01772