

FO1000003294 8

TRANSMITTAL LETTER

To: Qualification/Tax Lien Section  
Division of Corporations

SUBJECT: Harlem Globetrotters International, Inc.  
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following: 800003798858--3  
-03/05/01--01135--007  
\*\*\*\*\*70.00 \*\*\*\*\*70.00

Michael K. Syracuse  
(Name of Person)

Wol-5060

Harlem Globetrotters International, Inc.  
(Firm/Company)

1 Arizona Center, 400 E. Van Buren, Suite 300  
(Address)

Phoenix, Arizona 85004  
(City/State/Zip)

Should you need to call someone concerning this matter, please call:

Michael K. Syracuse at ( 602 ) 258-0000  
(Name of Person) (Area Code & Daytime Telephone Number)

**STREET ADDRESS:**

Qualification/Tax Lien Section  
Division of Corporations  
409 E. Gaines St.  
Tallahassee, FL 32399

**MAILING ADDRESS:**

Qualification/Tax Lien Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

01 JUN 21 AM 8:59

FILED

Enclosed is a check for the following amount:

- \$70.00 Filing Fee
- \$78.75 Filing Fee & Certificate of Status
- \$78.75 Filing Fee & Certified Copy
- \$87.50 Filing Fee, Certificate of Status & Certified Copy

mtw  
6/21



FLORIDA DEPARTMENT OF STATE

Katherine Harris  
Secretary of State

March 6, 2001

MICHAEL K SYRACUSE  
1 ARIZONA CTR  
400 E VAM BUREN, STE 300  
PHOENIX, AZ 85004

SUBJECT: HARLEM GLOBETROTTERS INTERNATIONAL INC  
Ref. Number: W01000005060

We have received your document for HARLEM GLOBETROTTERS INTERNATIONAL INC and your check(s) totaling \$70.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

The date first transacted business in Florida within the meaning of s. 607.1501 or 608.501, F.S., must be set forth in section 6 of the application. If the corporation/limited liability company has not yet transacted business in Florida within this meaning, please insert the words "upon qualification" in lieu of a date. (Note: Pursuant to s. 607.1502(4), F.S., this office collects a civil penalty of \$1000 for each year other than the application filing year, that a foreign corporation or limited liability company transacts business in this state without authority along with the past annual report/uniform business report fees due this office.)

Based upon information provided by the Florida Department of Revenue pursuant to section 213.053(14), Florida Statutes, it appears that HARLEM GLOBETROTTERS INTERNATIONAL INC has transacted business in Florida prior to submitting an "Application for Authority to Transact Business in Florida". The information received from the Florida Department of Revenue indicates January 1, 1998, as the initial date of transacting business in the State of Florida.

Pursuant to section 607.1502(4), 617.1502(4) or 608.502(4), Florida Statutes, this office collects a civil penalty of \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification and the appropriate annual report fees that would have been due this office had the entity qualified the year it began operations in this state. The amount due this office to cover both annual report/uniform business report and penalty fees is \$3450.00.

The document must be signed by the chairman, any vice chairman of the board of directors, its president, or another of its officers.

Pursuant to section 607.1502(4), 617.1502(4) or 608.502(4), Florida Statutes, this office collects a civil penalty of \$1000 for each year this entity transacted

SECRETARY OF STATE  
KATHERINE HARRIS  
TALLAHASSEE, FLORIDA

01 JUN 21 AM 8:59

FILED

business or conducted its affairs in Florida prior to qualification and the appropriate annual report/uniform business report fees that would have been due this office had the entity qualified the year it began operations in this state. The amount due this office to cover both annual report/uniform business report and penalty fees is \$3450.00.

Enclosed please find a copy of section 607.1501, 617.1501, or 608.502, Florida Statutes, which lists those activities that do not constitute transacting business in this state. If after reviewing this section you determine erroneous information was inserted on the application, a notarized affidavit containing the following information must be submitted: 1.) a statement indicating erroneous information was listed on the application; and 2.) the correct date the corporation began transacting business in Florida prior to the year the application was submitted did not constitute transacting business pursuant to section 607.1501, 617.1501 or 608.502, Florida Statutes.

If you have any questions concerning the filing of your document, please call (850) 487-6097.

Michael Mays  
Document Specialist

Letter Number: 301A00013594

FILED  
01 JUN 21 AM 8:59  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA



FLORIDA DEPARTMENT OF STATE  
Katherine Harris  
Secretary of State

April 17, 2001

MICHAEL K SYRACUSE  
1 ARIZONA CTR  
400 E VAM BUREN, STE 300  
PHOENIX, AZ 85004

SUBJECT: HARLEM GLOBETROTTERS INTERNATIONAL INC  
Ref. Number: W01000005060

We have received your document for HARLEM GLOBETROTTERS INTERNATIONAL INC and your check(s) totaling \$70.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

The document must be signed by the chairman, any vice chairman of the board of directors, its president, or another of its officers.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 487-6097.

Michael Mays  
Document Specialist

Letter Number: 101A000225

01 JUN 21 AM 8:59  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

FILED

# GARVEY & STODDARD, S.C.

Attorneys at Law

634 W. MAIN STREET, SUITE 101  
MADISON, WISCONSIN 53703

EDWARD R. GARVEY  
GLENN M. STODDARD  
KATHLEEN G. McNEIL  
JASON T. BEIER  
PAMELA R. MCGILLIVRAY

PHONE 608/256-1003  
FAX: 608/256-0933  
EMAIL: GARVEYLAW@AOL.COM

April 9, 2001

Michael May, Document Specialist  
Florida Department of State  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Dear Mr. May:

I represent Harlem Globetrotters International, Inc. (HGI). On March 6, 2001, you sent HGI a letter returning its application for correction and assessing a fee and penalty of \$3450.00. Since HGI has agreed to obtain a certificate of authority from your agency, despite the fact that it may not be required, the company respectfully requests that the penalties for past years be waived.

Section 607.1501 of the Florida Statutes requires foreign corporations to obtain certificates of authority to transact business in the State of Florida. However, it does not consider "conducting an isolated transaction that is completed within 30 days and that is not one in the course of repeated transactions of a like nature" to be a qualifying business transaction.

HGI sends teams on entertainment tours throughout the country, with venues changing on a continual basis. When touring in Florida, the entertainers do not stay 30 days, and there is no regular repetition of events. Due to the sporadic nature of HGI's business transactions in Florida, the company may not be required to obtain a certificate of authority, but is nevertheless willing to register an agent and obtain the certificate.

According to section 607.1502(4), Florida Statutes, your office collects a civil penalty not less than \$500 nor more than \$1000 for each year the entity transacted business in Florida. There would be no penalty, however, if HGI's business transactions were exempt under §607.1501(2)(j), Stats.

It is not in the state's nor in HGI's interest to obtain a court's interpretation of "repeated transactions," so we are asking that you waive the penalty as allowed under § 607.1501(2), Stats. To compromise, HGI would be willing to pay past fees of \$450.

We must resolve this matter quickly so that we do not need to obtain additional certification from the State if Minnesota. I would appreciate a call at (608)256-1003 by April 13, 2001, so we can resolve the penalty/fee issue. If I am unavailable, please ask for Diane Milligan.

Sincerely,

  
Kathleen G. McNeil  
Garvey & Stoddard, S.C.

FILED  
JUN 27 8:59 AM '01  
TALLAHASSEE, FLORIDA  
SECRETARY OF STATE

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Harlem Globetrotters International, Inc.  
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)

2. Minnesota 3. 411755400  
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. 6/21/93 5. perpetual  
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. 1/1/98  
(Date first transacted business in Florida.) (SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)

7. 1 Arizona Center, 400 E. Van Buren St., Suite 300  
Phoenix, Arizona 85004  
(Current mailing address)

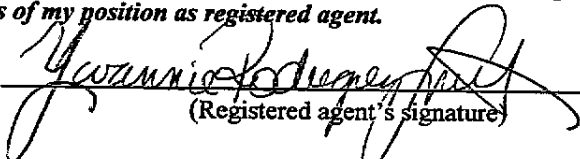
8. Entertainment  
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. **Name and street address of Florida registered agent:** (P.O. Box or Mail Drop Box NOT acceptable)  
Name: Yovannie Rodriguez-Smith  
Office Address: 233 South Semoran Blvd  
Orlando, Florida, 32807  
(Zip code)

FILED  
01 JUN 21 AM 8:59  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

**10. Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

  
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and addresses of officers and/or directors: (Street address ONLY - P.O. Box NOT acceptable)

A. DIRECTORS (Street address only - P.O. Box NOT acceptable)

Chairman: Mannie Jackson

Address: 1 Arizona Center, 400 E. Van Buren St., Suite 300  
Phoenix, Arizona 85004

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

B. OFFICERS (Street address only - P.O. Box NOT acceptable)

President: Mannie Jackson

Address: 1 Arizona Center, 400 E. Van Buren St., Suite 300  
Phoenix, Arizona 85004

Vice President: Colleen Lenihan

Address: 1 Arizona Center, 400 E. Van Buren St., Suite 300  
Phoenix, Arizona 85004

Secretary: Mike Syracuse

Address: 1 Arizona Center, 400 E. Van Buren St., Suite 300  
Phoenix, Arizona 85004

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. [Signature]  
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Michael K. Syracuse Corporate Secretary and Treasurer, CEO  
(Typed or printed name and capacity of person signing application)

FILED  
01 JUN 21 AM 8:59  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

State of Minnesota

**SECRETARY OF STATE**

Certificate of Good Standing

I, Mary Kiffmeyer, Secretary of State of Minnesota, do certify that: The corporation listed below is a corporation formed under the laws of Minnesota; that the corporation was formed by the filing of Articles of Incorporation with the Office of the Secretary of State on the date listed below; that the corporation is governed by the chapter of Minnesota Statutes listed below; and that this corporation is authorized to do business as a corporation at the time this certificate is issued.

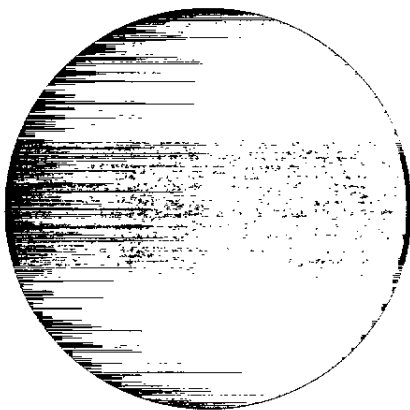
Name: Harlem Globetrotters International, Inc.

Date Formed: 06/21/1993

Chapter Governed By: 302A

This certificate has been issued on 02/06/01.

FILED  
01 JUN 21 AM 8:59  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA



*Mary Kiffmeyer*  
Secretary of State.