

FO4000000495

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

PICK-UP WAIT MAIL

(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

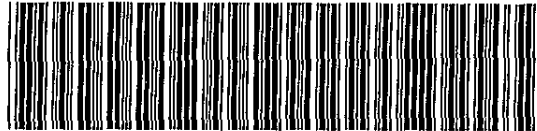
Special Instructions to Filing Officer:

Name Availability	<i>Let</i>
Document Examiner	<i>Let</i>
Updater	
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1/27/03

Acknowledgment
Office Use Only
M. R. Verifier

FF \$70
95 PF 500
96 PF 500
97 PF 500
96-03 UBL's 1265.00



100023848981

10/23/03--01002--011 **630.00

01/27/04--01025--016 **2205.00

$$\begin{array}{r} 500.00 \\ + 1265.00 \\ \hline 2765.00 \\ + 70.00 \end{array}$$

~~6203-31250~~

2835 Total due

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
04 JAN 27 PM 1:25



October 10, 2003

Florida Department of State
Registration Section
Division of Corporations
P. O. Box 6327
Tallahassee, FL 32314

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Our corporation recently became aware per notice from the Florida Department of State that we inadvertently have not registered even though we have been filing Florida Corporate Income/Franchise and Emergency Excise Tax Returns. The controller working for our Company when we first started business in Florida is no longer with our Company and as a result we do not know how this was overlooked. We apologize for this oversight and have tried to comply with all Florida filing requirements by filing our Florida Income/Franchise and personal property tax returns in your state. We will make sure the annual registration is timely filed in the future.

Please abate any penalties since it is clear that we were making a concerted effort to comply with Florida's filing requirements by filing the Corporate Income/Franchise tax returns annually.

Please return all correspondence concerning this matter to the following:

Steve Edwards
Royal Brass, Inc.
P. O. Box 51468
Knoxville, TN 37909

For further information concerning this matter, please call:

Steve Edwards at (865) 291-6124

Enclosed is a check for \$630 for 9 years of filing fees from 1995 to 2003.

Sincerely,

A handwritten signature in cursive script that reads "Steve Edwards".

Steve Edwards
Treasurer

Enclosures



FLORIDA DEPARTMENT OF STATE
Glenda E. Hood
Secretary of State

October 27, 2003

STEVE EDWARDS
ROYAL BRASS, INC.
PO BOX 51468
KNOXVILLE, TN 37909

SUBJECT: ROYAL BRASS, INC.
Ref. Number: W03000031250

We have received your document for ROYAL BRASS, INC. and your check(s) totaling \$630.00. However, the document has not been filed and is being retained in this office for the following:

Based on the information you have provided and in accordance with s.607.1502(4), 608.502(4) or 617.1502(4), F.S., this office will reduce the civil penalty of \$1,000 per year to \$500 per year for each year this entity transacted business or conducted its affairs in Florida prior to qualification. Therefore, the total amount due to cover both annual report/uniform business report and penalty fees is \$5265.00.

There is a balance due of \$4705.00.

If you have any questions concerning the filing of your document, please call (850) 245-6911.

Brenda Tadlock
Senior Section Administrator

Letter Number: 203A00058354



October 30, 2003

Florida Department of State
Registration Section
Division of Corporations
P. O. Box 6327
Tallahassee, FL 32314

RE: Royal Brass, Inc.
Ref. Number: W03000031250

Dear Sir or Madam:

We are in receipt of your letter regarding our "Application by Foreign Corporation for Authorization to Transact Business in Florida" and check.

As we stated in our previous letter, our corporation recently became aware per notice from the Florida Department of State that we inadvertently have not registered even though we have been filing Florida Corporate Income/Franchise and Emergency Excise Tax Returns. Your letter states that you have reduced the civil penalty to \$500 per year for the years we transacted business in the state prior to qualification. We appreciate the reduction of the penalty but believe the penalty should be abated under the circumstances.

Our Company has made an effort to comply with all Florida filing requirements by filing the Florida Corporate Income/Franchise Tax Returns since doing business in the state of Florida. We would hope that the State of Florida would be more flexible on the abatement of penalties for a Corporation that has a location and employees in your state and has obviously made an effort to comply with state requirements. It does not seem to be fair to penalize our Company for 9 years worth of penalties when the Florida Department of State waited several years before notifying our Company that it has overlooked one of its filing requirements. We have been filing our Florida Income tax returns since 1995 and your state was aware of our presence since then.

Thank you for considering our request for abatement of the penalties.

Please return all correspondence concerning this matter to the following:

Steve Edwards
Royal Brass, Inc.
P. O. Box 51468
Knoxville, TN 37909

Sincerely,

A handwritten signature in cursive script that reads "Steve Edwards".

Steve Edwards
Treasurer



FLORIDA DEPARTMENT OF STATE
Glenda E. Hood
Secretary of State

November 7, 2003

STEVE EDWARDS
ROYAL BRASS, INC.
PO BOX 51468
KNOXVILLE, TN 47909

SUBJECT: ROYAL BRASS, INC.
Ref. Number: W03000031250

We have received your document for ROYAL BRASS, INC. and your check(s) totaling \$630.00. However, the document has not been filed and is being retained in this office for the following:

Pursuant to section 607.1502(4), 608.502(4), or 617.1502(4), F.S., this office is required to collect a minimum civil penalty of \$500 per year for each year an entity transacted business in this state without the appropriate statutory authority to do so. While we realize the non-registration was not intentional, we are unable to further reduce, abate or waive the penalty fees unless the entity is able to demonstrate its non-registration was due to a negligent act or oversight on the part of the State of Florida.

Unfortunately, not all entities obligated to pay sales tax to the State of Florida are actually transacting business in Florida within the meaning of section 607.1501, 608.501, or 617.1501, F.S. While the Florida Department of Revenue and Florida Department of State do compare records to assist those entities which may have failed to properly register with this office, it is the responsibility of the entity itself to ensure it is in full compliance with all applicable statutory filing requirements before transacting business in this state.

Therefore, there is a balance due of \$4,705.

If you have any questions concerning the filing of your document, please call (850) 245-6911.

Brenda Tadlock
Senior Section Administrator

Letter Number: 903A00060862



November 19, 2003

Florida Department of State
Registration Section
Division of Corporations
P. O. Box 6327
Tallahassee, FL 32314

RE: Royal Brass, Inc.
Ref. Number: W03000031250

Dear Sir or Madam:

We have received your response regarding our request for abatement of the penalties related to our application.

It would not be unreasonable to conclude that the State of Florida was negligent by the failure to notify our Company of not registering with the Department of State after filing Florida Corporate Income/Franchise tax returns for 9 years. The filing of Florida income tax returns serve as an indicator that a Company should be registered in your state even though as you stated in your letter the filing of sales tax returns may not always indicate the required registration. Our notification of the failure to register with the Florida Department of State was a result of your department becoming aware that we were filing income tax returns with the Department of Revenue.

Penalties are established to prevent intentional non-filing of taxes and registrations. They should not be assessed against a Company when it is clear the act was not intentional, especially penalties that are excessive due to the number of years involved. This type of situation could encourage a state to intentionally delay notifying a Company of its inadvertent failure to register in order to increase the penalties to be collected.

The failure to register in Florida has not had any impact on our Company doing business in your state other than the annual fee not having been paid which we have sent a check for the entire amount due.

Once again, thank you for considering our request for abatement or reduction of the penalties.

Please return all correspondence concerning this matter to the following:

Steve Edwards
Royal Brass, Inc.
P. O. Box 51468
Knoxville, TN 37909

Sincerely,

A handwritten signature in cursive script that reads "Steve Edwards".

Steve Edwards
Treasurer



FLORIDA DEPARTMENT OF STATE
Glenda E. Hood
Secretary of State

December 18, 2003

STEVE EDWARDS
ROYAL BRASS, INC.
PO BOX 51468
KNOXVILLE, TN 37909

SUBJECT: ROYAL BRASS, INC.
Ref. Number: W03000031250

We have received your document for ROYAL BRASS, INC. and your check(s) totaling \$630.00. However, the document has not been filed and is being retained in this office for the following:

Based on the information you have provided, this office offers to settle the issue of non-qualified penalty and annual report fees for the sum of \$2765.00. However, this offer will expire in 60 days. To take advantage of this offer, we must receive the total amount due prior to the expiration date.

The total amount due is \$2835.00.

There is a balance due of \$2205.00.

If you have any questions concerning the filing of your document, please call (850) 245-6911.

Brenda Tadlock
Senior Section Administrator

Letter Number: 603A00067799

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. Royal Brass, Inc.
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION," "Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Tennessee 3. 62-0694119
(State or country under the law of which it is incorporated) (FEL number, if applicable)

4. 6/29/62 5. Perpetual
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. 1995
(Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification.")
(SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)

7. 1470 Amherst Rd. Knoxville, TN 37909
(Principal office address)

P.O. Box 51468 Knoxville, TN 37950-1468
(Current mailing address)

8. Wholesale Sales
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box NOT acceptable)

Name: Jeff May

Office Address: 10705 Rocket Boulevard #5+6

Orlando, Florida 32824
(City) (Zip code)

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
04 JAN 27 PM 1:25

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Jeff May
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: _____

Address: _____

Vice Chairman: _____

Address: _____

✓ Director: Garvin Ingram

Address: 1470 Amherst Rd.

Knoxville, TN 37909

✓ Director: Paul MacDonald

Address: 1470 Amherst Rd.

Knoxville, TN 37909

B. OFFICERS

○ President: Garvin Ingram

Address: 1470 Amherst Rd.

Knoxville, TN 37909

○ Vice President: Paul MacDonald

Address: 1470 Amherst Rd.

Knoxville, TN 37909

Secretary: Paul MacDonald

Address: _____

Treasurer: Steve Edwards

Address: 1470 Amherst Rd. Knoxville, TN 37909

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. Steve Edwards
(Signature of Director or Officer listed in number 12 of the application)

14. Steve Edwards Treasurer
(Typed or printed name and capacity of person signing application)

Secretary of State
Division of Business Services
312 Eighth Avenue North
6th Floor, William R. Snodgrass Tower
Nashville, Tennessee 37243

ISSUANCE DATE: 10/03/2003
REQUEST NUMBER: 03276515
TELEPHONE CONTACT: (615) 741-6488

CHARTER/QUALIFICATION DATE: 06/29/1962
STATUS: ACTIVE
CORPORATE EXPIRATION DATE: PERPETUAL
CONTROL NUMBER: 0027125
JURISDICTION: TENNESSEE

TO:
ROYAL BRASS, INC
%STEVE EDWARDS
PO BOX 51468
KNOXVILLE, TN 37950-1468

REQUESTED BY:
ROYAL BRASS, INC
%STEVE EDWARDS
PO BOX 51468
KNOXVILLE, TN 37950-1468

CERTIFICATE OF EXISTENCE

I, RILEY C DARNELL, SECRETARY OF STATE OF THE STATE OF TENNESSEE DO HEREBY CERTIFY THAT

"ROYAL BRASS, INC."

IS A CORPORATION DULY INCORPORATED UNDER THE LAW OF THIS STATE WITH DATE OF INCORPORATION AND DURATION AS GIVEN ABOVE;
THAT ALL FEES, TAXES, AND PENALTIES OWED TO THIS STATE WHICH AFFECT THE EXISTENCE OF THE CORPORATION HAVE BEEN PAID;
THAT THE MOST RECENT CORPORATION ANNUAL REPORT REQUIRED HAS BEEN FILED WITH THIS OFFICE; AND
THAT ARTICLES OF DISSOLUTION HAVE NOT BEEN FILED; AND
THAT ARTICLES OF TERMINATION OF CORPORATE EXISTENCE HAVE NOT BEEN FILED

FOR: REQUEST FOR CERTIFICATE

ON DATE: 10/03/03

FROM:
ROYAL BRASS & HOSE (BOX 52472/AMHERST)
P.O. BOX 52472
1470 AMHERST RD.
KNOXVILLE, TN 37950-2472

	FEES	
RECEIVED:	\$20.00	\$0.00
TOTAL PAYMENT RECEIVED:		\$20.00

RECEIPT NUMBER: 00003366587
ACCOUNT NUMBER: 00010137



Riley C Darnell

RILEY C. DARNELL
SECRETARY OF STATE