

**2014 FOREIGN PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# F10000002034

**Entity Name:** GUGGENHEIM LIFE AND ANNUITY COMPANY

**Current Principal Place of Business:**

401 PENNSYLVANIA PARKWAY  
SUITE 300  
INDIANAPOLIS, IN 46280

**Current Mailing Address:**

2711 CENTERVILLE RD., STE 400  
WILLMINGTON, DE 19808

**FEI Number:** 43-1380564

**Certificate of Status Desired:** No

**Name and Address of Current Registered Agent:**

CHIEF FINANCIAL OFFICER  
BOX 6200 32314-6200  
200 E. GAINES ST.  
TALLAHASSEE, FL 32399 US

*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.*

**SIGNATURE:**

\_\_\_\_\_  
Electronic Signature of Registered Agent

\_\_\_\_\_  
Date

**Officer/Director Detail :**

Title DIRECTOR  
Name KORMAN, DAVID L.  
Address 227 WEST MONROE STREET  
SUITE 4800  
City-State-Zip: CHICAGO IL 60606

Title DIRECTOR  
Name SIR, BRIAN T.  
Address 227 WEST MONROE STREET  
SUITE 4800  
City-State-Zip: CHICAGO IL 60606

Title DIRECTOR  
Name CACCIAPAGLIA, DONALD C.  
Address 330 MADISON AVENUE  
10TH FLOOR  
City-State-Zip: NEW YORK NY 10017

Title DIRECTOR  
Name MINERD, BYRON S.  
Address 100 WILSHIRE BOULEVARD  
SUITE 500  
City-State-Zip: SANTA MONICA CA 90401

Title PRESIDENT, CEO  
Name TOWRISS, DANIEL J.  
Address 401 PENNSYLVANIA PARKWAY  
SUITE 300  
City-State-Zip: INDIANAPOLIS IN 46280

Title TREASURER, COO  
Name PURVIS, JAMES D.  
Address 401 PENNSYLVANIA PARKWAY  
SUITE 300  
City-State-Zip: INDIANAPOLIS IN 46280

Title SECRETARY  
Name FOORMAN, JAMES L.  
Address 227 WEST MONROE STREET  
SUITE 4800  
City-State-Zip: CHICAGO IL 60606

*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

**SIGNATURE:** JAMES L. FOORMAN

**SECRETARY**

**05/01/2014**

\_\_\_\_\_  
Electronic Signature of Signing Officer/Director Detail

\_\_\_\_\_  
Date