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SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

1 8 15 14

**COVER LETTER**

**TO:** New Filing Section  
Division of Corporations

**SUBJECT:** Oppenheimer Companies, Inc.  
Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Kenneth Albus  
Name of Person  
Oppenheimer Companies, Inc.  
Firm/Company  
877 West Main Street, Suite 700  
Address  
Boise, ID 83702  
City/State and Zip code  
ken-albus@oppcos.com  
E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Tom Clough at ( 208 ) 343-4883  
Name of Person Area Code & Daytime Telephone Number

**STREET/COURIER ADDRESS:**  
New Filing Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

**MAILING ADDRESS:**  
New Filing Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- \$70.00 Filing Fee
- \$78.75 Filing Fee & Certificate of Status
- \$78.75 Filing Fee & Certified Copy
- \$87.50 Filing Fee, Certificate of Status & Certified Copy

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. Oppenheimer Companies, Inc. (Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION," "Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Idaho (State or country under the law of which it is incorporated) 3. 82-0237609 (FEI number, if applicable)

4. 08/24/1959 (Date of incorporation) 5. Perpetual (Duration: Year corp. will cease to exist or "perpetual")

6. 04/15/2015 (Date first transacted business in Florida, if prior to registration) (SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 877 West Main Street, Suite 700, Boise, ID 83702 (Principal office address)

Same as above (Current mailing address)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name Donald J. Poulin

Office Address: 641 Battlegate Lane

Ponte Vedra, Florida 32081 (City) (Zip code)

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9. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

[Signature] (Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

11. Names and business addresses of officers and/or directors:

**A. DIRECTORS**

Chairman: Arthur F. Oppenheimer

Address: 877 West Main St., Suite 700  
Boise, ID 83702

Director  
~~Vice Chairman:~~ Douglas F. Oppenheimer

Address: 877 West Main St., Suite 700  
Boise, ID 83702

Director: Lawrence Lipschultz

Address: 877 West Main St., Suite 700  
Boise, ID 83702

Director: Ernest J. Voigt

Address: 877 West Main St., Suite 700  
Boise, ID 83702

**B. OFFICERS**

President: Douglas F. Oppenheimer

Address: 877 West Main St., Suite 700  
Boise, ID 83702

Vice President: Lawrence Lipschultz

Address: 877 West Main St., Suite 700  
Boise, ID 83702


Secretary: Douglas F. Oppenheimer

Address: 877 West Main St., Suite 700, Boise, ID 83702

Treasurer: Kenneth K. Albus

Address: 877 West Main St., Suite 700, Boise, ID 83702

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12.   
Signature of Director or Officer

The officer or director signing this document (and who is listed in number 12 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Kenneth K. Albus, Treasurer  
(Typed or printed name and capacity of person signing application)

# State of Idaho

Office of the Secretary of State

CERTIFICATE OF EXISTENCE  
OF  
OPPENHEIMER COMPANIES, INC.

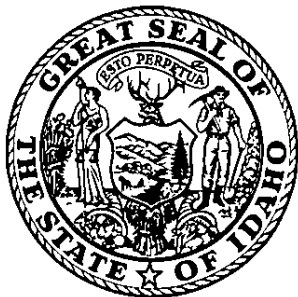
File Number C 31140

I, LAWERENCE DENNEY, Secretary of State of the State of Idaho, hereby certify that I am the custodian of the corporation records of this State.

I FURTHER CERTIFY That the record of this office show that the above-named corporation was incorporated under the laws of Idaho on August 24, 1959.

I FURTHER CERTIFY That the corporation is in goodstanding on the records of this office.

Dated: May 14, 2015



*Lawrence Denney*  
SECRETARY OF STATE

By *Mary Berne*