

**FOR PROFIT CORPORATION  
UNIFORM BUSINESS REPORT (UBR)**

**FILED**  
**May 15, 2002 8:00 am**  
**Secretary of State**

05-15-2002 90088 035 \*\*\*150.00

DOCUMENT # 701259 ✓

1. Entity Name

Independence Life and Annuity Company

**DO NOT WRITE IN THIS SPACE**

2. Principal Place of Business  
One Sun Life Executive Park

3. Mailing Address  
One Sun Life Executive Park

Suite, Apt. #, etc.  
SC 1335

Suite, Apt. #, etc.  
SC 1335

City & State  
Wellesley Hills, MA

City & State  
Wellesley Hills, MA

Zip Country  
02481 USA

Zip Country  
02481 USA

4. FEI Number  
61-0403075

Applied For  
Not Applicable

5. Certificate of Status Desired  \$8.75 Additional Fee Required

DO NOT WRITE IN THIS SPACE

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IN THIS SPACE**

7. Name and Address of Current Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back)

**January 1 - May 1 Fee is \$150.00**  
**After May 1, Fee is \$550.00**  
**Amended UBR is \$61.25**  
**Make Check Payable to Department of State**

10. Election Campaign Financing Trust Fund Contribution.  \$5.00 May Be Added to Fees

11. OFFICERS AND DIRECTORS

TITLE NAME STREET ADDRESS CITY-ST-ZIP	SEE ATTACHED	TITLE NAME STREET ADDRESS CITY-ST-ZIP	
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13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or on an attachment with an address, with all other like empowered.

SIGNATURE: Ellen B. King May 3, 2002  
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

(781) 446-2486  
Date Daytime Phone #

CR2E034B (12/01)

# Directors, Officers Report

## Independence Life and Annuity Company

~~Attachment~~ #701259 / 660415

### DIRECTORS

**James Cameron Baillie**

**Director**

Primary Address:

Torys LLP  
Box 270, TD Centre  
Suite 3000, 79 Wellington Street West  
Toronto, Ontario M5K 1N2 Canada

**David D. Horn**

**Director**

Primary Address:

257 Lake Street  
P.O. Box 24  
New Vineyard, ME 04956

**Angus A. MacNaughton**

**Director**

Primary Address:

555 California Street  
Suite 4850  
San Francisco, CA 94104

**James A. McNulty, III**

**Director**

Primary Address:

Sun Life Financial  
One Sun Life Executive Park  
Wellesley, MA 02481

**C. James Prieur**

**Director & Vice Chairman**

Primary Address:

Sun Life Assurance Company of Canada  
150 King Street West  
Toronto, Ontario M5H 1J9 Canada

**S. Caesar Raboy**

**Director**

Primary Address:

220 Boylston Street  
Boston, MA 02110

**Donald A. Stewart**

**Director & Chairman**

Primary Address:

Sun Life Assurance Company of Canada  
150 King Street West  
Toronto, Ontario M5H 1J9 Canada

**William W. Stinson**

**Director**

Primary Address:

Apt. 2402  
2000 Somervale Court S.W.  
c/o C. McCullough  
Calgary, AB T2Y 4J1 Canada

~~Attachment~~ # 901259 / 660415

**EXECUTIVE OFFICERS**

**James A. McNulty, III**

Primary Address:

Sun Life Financial  
One Sun Life Executive Park  
Wellesley, MA 02481

**President**

**James M.A. Anderson**

Primary Address:

Sun Life Financial  
One Sun Life Executive Park  
Wellesley, MA 02481

**Vice President, Investments**

**Robin L. Camara**

Primary Address:

Sun Life Financial  
One Sun Life Executive Park  
Wellesley Hills, MA 02481

**Vice-President, Human Resources & Administrative Services**

**Mark W. DeTora**

Primary Address:

Sun Life Financial  
One Sun Life Executive Park  
Wellesley, MA 02481

**Vice-President, Individual Insurance**

**Peter F. Demuth**

Primary Address:

Sun Life Financial  
One Sun Life Executive Park  
Wellesley Hills, MA 02481

**Vice President, Chief Strategy and Business Development Officer**

**Ronald J. Fernandes**

Primary Address:

Sun Life Financial  
One Sun Life Executive Park  
Wellesley Hills, MA 02481

**Vice-President, Retirement Products & Services**

**Ellen B. King**

Primary Address:

Sun Life Financial  
One Sun Life Executive Park  
Wellesley Hills, MA 02481

**Assistant Vice President and Senior Counsel and Secretary**

**Philip K Polkinghorn**

Primary Address:

Sun Life Financial  
One Sun Life Executive Park  
Wellesley Hills, MA 02481

**Vice-President, Retirement Products & Services**

**Davey S. Scoon**

Primary Address:

Sun Life Financial  
One Sun Life Executive Park  
Wellesley Hills, MA 02481

**Vice President, Chief Administrative & Financial Officer & Treasurer**

**James R. Smith**

Primary Address:

Sun Life Financial  
One Sun Life Executive Park  
Wellesley Hills, Massachusetts 02481 USA

**Vice President & Chief Information Officer**

**Robert P. Vrolyk**

Primary Address:

Sun Life Financial  
One Sun Life Executive Park  
Wellesley Hills, MA 02481

**Vice-President & Actuary**