

2019 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# P02616

Entity Name: OPPENHEIMER & CO. INC.

Current Principal Place of Business:

85 BROAD STREET
22ND FLOOR
NEW YORK, NY 10004

Current Mailing Address:

85 BROAD STREET
22ND FLOOR
NEW YORK, NY 10004 US

FEI Number: 13-5657518

Certificate of Status Desired: No

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 32301 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Officer/Director Detail :

Title CEO, PRESIDENT
Name LOWENTHAL, ALBERT G.
Address 85 BROAD STREET
22ND FLOOR
City-State-Zip: NEW YORK NY 10004

Title CFO, TREASURER
Name ALFANO, JEFFREY J.
Address 85 BROAD STREET
22ND FLOOR
City-State-Zip: NEW YORK NY 10004

Title SECRETARY
Name MCNAMARA, DENNIS P.
Address 85 BROAD STREET
22ND FLOOR
City-State-Zip: NEW YORK NY 10004

Title DIRECTOR
Name ROBERTS, ELAINE K.
Address 85 BROAD STREET
22ND FLOOR
City-State-Zip: NEW YORK NY 10004

Title COO, DIRECTOR
Name SPAULDING, LAURENCE
Address 85 BROAD STREET
22ND FLOOR
City-State-Zip: NEW YORK NY 10004

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: DENNIS P. MCNAMARA

SECRETARY

01/07/2019

Electronic Signature of Signing Officer/Director Detail

Date