

**2020 FOREIGN PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# P02616

**Entity Name:** OPPENHEIMER & CO. INC.

**Current Principal Place of Business:**

85 BROAD STREET  
22ND FLOOR  
NEW YORK, NY 10004

**Current Mailing Address:**

85 BROAD STREET  
22ND FLOOR  
NEW YORK, NY 10004 US

**FEI Number:** 13-5657518

**Certificate of Status Desired:** No

**Name and Address of Current Registered Agent:**

CORPORATION SERVICE COMPANY  
1201 HAYS STREET  
TALLAHASSEE, FL 32301 US

*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.*

**SIGNATURE:** \_\_\_\_\_

Electronic Signature of Registered Agent

Date

**Officer/Director Detail :**

Title CEO, PRESIDENT, DIRECTOR  
Name LOWENTHAL, ALBERT G.  
Address 85 BROAD STREET  
22ND FLOOR  
City-State-Zip: NEW YORK NY 10004

Title CFO, TREASURER, DIRECTOR  
Name ALFANO, JEFFREY J.  
Address 85 BROAD STREET  
22ND FLOOR  
City-State-Zip: NEW YORK NY 10004

Title SECRETARY  
Name MCNAMARA, DENNIS P.  
Address 85 BROAD STREET  
22ND FLOOR  
City-State-Zip: NEW YORK NY 10004

Title DIRECTOR  
Name SPAULDING, LAURENCE  
Address 85 BROAD STREET  
22ND FLOOR  
City-State-Zip: NEW YORK NY 10004

*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

**SIGNATURE:** DENNIS PATRICK MCNAMARA

**SECRETARY**

**02/04/2020**

Electronic Signature of Signing Officer/Director Detail

Date