

2004 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# P02616

FILED
Apr 23, 2004
Secretary of State

Entity Name: OPPENHEIMER & CO. INC.

Current Principal Place of Business:

125 BROAD STREET
NEW YORK, NY 10004

New Principal Place of Business:

125 BROAD STREET 16TH
NEW YORK, NY 10004

Current Mailing Address:

125 BROAD STREET, 16TH FLOOR
ATTN: BETTY DIAZ
NEW YORK, NY 10004

New Mailing Address:

FEI Number: 13-5657518 FEI Number Applied For () FEI Number Not Applicable () Certificate of Status Desired ()

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 32301 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: _____

Electronic Signature of Registered Agent

_____ Date

Election Campaign Financing Trust Fund Contribution ().

OFFICERS AND DIRECTORS:

Title: CFOC () Delete
Name: WOHLMAN, RICHARD
Address: 125 BROAD STREET
City-St-Zip: NEW YORK, NY 10004

Title: D () Delete
Name: NEUHOFF, ROBERT N
Address: 125 BROAD STREET
City-St-Zip: NEW YORK, NY 10004

Title: T () Delete
Name: ROBERTS, E K
Address: 125 BROAD STREET
City-St-Zip: NEW YORK, NY 10004

Title: D () Delete
Name: OUGHTRED, A W
Address: 125 BROAD STREET
City-St-Zip: NEW YORK, NY 10004

Title: S (X) Delete
Name: SHAMES, ERIC J
Address: 125 BROAD STREET
City-St-Zip: NEW YORK, NY 10004

ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS:

Title: CFO (X) Change () Addition
Name: WOHLMAN, RICHARD
Address: 125 BROAD STREET
City-St-Zip: NEW YORK, NY 10004

Title: VP (X) Change () Addition
Name: NEUHOFF, ROBERT N
Address: 125 BROAD STREET
City-St-Zip: NEW YORK, NY 10004

Title: TREA (X) Change () Addition
Name: ROBERTS, E K
Address: 125 BROAD STREET
City-St-Zip: NEW YORK, NY 10004

Title: SECR (X) Change () Addition
Name: SHAMES, ERIC J
Address: 125 BROAD STREET
City-St-Zip: NEW YORK, NY 10004

Title: () Change () Addition
Name:
Address:
City-St-Zip:

I hereby certify that the information supplied with this filing does not qualify for the for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with an address, with all other like empowered.

SIGNATURE: RICHARD WOHLMAN

MR

04/23/2004

Electronic Signature of Signing Officer or Director

_____ Date