

2013 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# P14270

FILED
Apr 15, 2013
Secretary of State
CC0188638473

Entity Name: CAPITAL BROKERAGE CORPORATION

Current Principal Place of Business:

6620 WEST BROAD STREET
RICHMOND, VA 23230

Current Mailing Address:

6620 WEST BROAD STREET
BLDG. 1, LAW DEPT.
RICHMOND, VA 23230 US

FEI Number: 91-1143830

Certificate of Status Desired: No

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 32301 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Officer/Director Detail :

Title DIRECTOR
Name APOSTLE, II, JOHN G
Address 6620 WEST BROAD STREET
City-State-Zip: RICHMOND VA 23230

Title T
Name PRIZZIA, GARY T
Address 6620 WEST BROAD STREET
City-State-Zip: RICHMOND VA 23230

Title VICE PRESIDENT AND SECRETARY
Name TORRES, JR, VIDAL J
Address 6620 WEST BROAD STREET
City-State-Zip: RICHMOND VA 23230

Title P
Name REEKS, SCOTT R
Address 6620 WEST BROAD STREET
City-State-Zip: RICHMOND VA 23230

Title D, VP
Name DUFFY, THOMAS E
Address 6620 WEST BROAD STREET
City-State-Zip: RICHMOND VA 23230

Title D
Name O'BRIEN, ELIZABETH M
Address 6620 WEST BROAD STREET
City-State-Zip: RICHMOND VA 23230

Title SENIOR
Name KLEIN, MARTIN P.
Address 6620 WEST BROAD STREET
City-State-Zip: RICHMOND VA 23230

Title SENIOR VICE PRESIDENT AND CHIEF COMPLIANCE OFFICER
Name WOLFE, SCOTT E.
Address 6620 WEST BROAD STREET
City-State-Zip: RICHMOND VA 23230

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I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: VIDAL J. TORRES, JR.

VICE PRESIDENT AND SECRETARY

04/15/2013

Electronic Signature of Signing Officer/Director Detail

Date

Officer/Director Detail Continued :

Title VP
Name HEALY, DANIEL P
Address 6620 WEST BROAD STREET
City-State-Zip: RICHMOND VA 23230

Title ASSISTANT TREASURER
Name EARLY, JOSEPH C.
Address 6620 WEST BROAD STREET
City-State-Zip: RICHMOND VA 23230

Title ASSISTANT TREASURER
Name TANGARD, RICHARD K
Address 6620 WEST BROAD STREET
City-State-Zip: RICHMOND VA 23230

Title FINANCIAL & OPERATIONS PRINCIPAL
Name BAGNELL, LINDA C
Address 6620 WEST BROAD STREET
City-State-Zip: RICHMOND VA 23230

Title ASSISTANT SECRETARY
Name MYERS, THERESA A.
Address 6620 WEST BROAD STREET
City-State-Zip: RICHMOND VA 23230

Title ASSISTANT TREASURER
Name LASKOWITZ, GAIL F
Address 6620 WEST BROAD STREET
City-State-Zip: RICHMOND VA 23230

Title ASSISTANT TREASURER
Name TEPPER, EDWARD A
Address 6620 WEST BROAD STREET
City-State-Zip: RICHMOND VA 23230