

FILE NOW: FILING FEE AFTER MAY 1 IS \$225.00

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PROFIT CORPORATION
ANNUAL REPORT
1996



FLORIDA DEPARTMENT OF STATE
Sandra B. Morham
Secretary of State
DIVISION OF CORPORATIONS

DOCUMENT # **P14270** (3)

1. Corporation Name
GNA SECURITIES, INC.



Principal Place of Business: **601 UNION STREET SUITE 5600 SEATTLE WA 98101-2336**
Mailing Address: **GE CAPITAL CORP. ATTN: SHANNON WILLIAMS P.O. BOX 9552 FT. MYERS FL 33906-9552**

3. Date Incorporated or Qualified 05/01/1987	3a. Date of Last Report 05/01/1995
4. FEI Number 91-1143830	Applied For <input type="checkbox"/> Not Applicable
5. Certificate of Status Desired <input type="checkbox"/>	\$8.75 Additional Fee Required
6. Election Campaign Financing Trust Fund Contribution <input type="checkbox"/>	\$5.00 May Be Added to Fees
8. This corporation has liability for intangible tax under s. 199.032, Florida Statutes <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

2. Principal Place of Business	2a. Mailing Address
21. Suite, Apt. #, etc.	26. PO BOX 490
22. City & State	27. ATTN: LEGAL
23. Zip	28. SEATTLE, WA
24. Country	29. 98111-0490
	30. USA

9. Name and Address of Current Registered Agent C T CORPORATION SYSTEM 1200 S. PINE ISLAND RD. PLANTATION FL 33324	10. Name and Address of New Registered Agent
81. Name	
82. Street Address (P.O. Box Number is Not Acceptable)	
83.	
84. City	FL
	85. Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE _____ DATE _____
Signature typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent's signature required when no change.)

12. OFFICERS AND DIRECTORS		13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12	
TITLE	VS	1.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	WILES, EDWARD J JR	1.2 NAME	
STREET ADDRESS	5600 TWO UNIO SQUARE	1.3 STREET ADDRESS	
CITY-ST-ZIP	SEATTLE WA	1.4 CITY-ST-ZIP	
TITLE	V	2.1 TITLE	VP/D <input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	MOSES, VICTOR C	2.2 NAME	
STREET ADDRESS	5600 TWO UNION SQUARE	2.3 STREET ADDRESS	
CITY-ST-ZIP	SEATTLE WA	2.4 CITY-ST-ZIP	
TITLE	AS <input checked="" type="checkbox"/> DELETE	3.1 TITLE	AS <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
NAME	BODMER, JULIE M.	3.2 NAME	HARRINGTON, KARRI J.
STREET ADDRESS	5600 TWO UNION SQUARE	3.3 STREET ADDRESS	601 UNION 3TREET, SUITE 5600
CITY-ST-ZIP	SEATTLE WA	3.4 CITY-ST-ZIP	SEATTLE, WA 98101-2336
TITLE	PD	4.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	WELCH, PATRICK E	4.2 NAME	300001779483
STREET ADDRESS	5600 TWO UNION SQAURE	4.3 STREET ADDRESS	-04/15/96--01022--020
CITY-ST-ZIP	SEATTLE WA	4.4 CITY-ST-ZIP	***200.00
TITLE	VA <input checked="" type="checkbox"/> DELETE	5.1 TITLE	T <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
NAME	GILYEART, JUDITH A	5.2 NAME	HUGUNIN, JEFFREY I.
STREET ADDRESS	5600 TWO UNION SQUARE	5.3 STREET ADDRESS	601 UNION STREET, SUITE 5600
CITY-ST-ZIP	SEATTLE WA	5.4 CITY-ST-ZIP	SEATTLE, WA 98101-2336
TITLE	V <input checked="" type="checkbox"/> DELETE	6.1 TITLE	VP/CFO/D <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
NAME	MILLER, A. JOHN, II	6.2 NAME	STIFF, GEOFFREY S.
STREET ADDRESS	5600 TWO UNION SQUARE	6.3 STREET ADDRESS	601 UNION STREET, SUITE 5600
CITY-ST-ZIP	SEATTLE WA	6.4 CITY-ST-ZIP	SEATTLE, WA 98101-2336

14. I do hereby certify that the information supplied with this filing is voluntarily furnished and does not qualify for the exemption stated in Section 119.07(3)(k), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE: *Kari Harrington* **4-8-96** (206) 625-1755
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

CR2E034 (12/95)

DM 4-14-96

GNA SECURITIES, INC.
OFFICER AND DIRECTOR INFORMATION as of March 31, 1996
business address unless noted: 601 Union Street, Suite 5600
Seattle, WA 98101-2336

<u>OFFICERS</u> <u>NAME</u>	<u>TITLE</u>
Patrick E. Welch	President and Chief Executive Officer
Hans L. Carstensen, III	Senior Vice President Chief Marketing Officer
Victor C. Moses	Senior Vice President
Geoffrey S. Stiff	Senior Vice President, Chief Financial Officer
Kenneth F. Starr	Senior Vice President
Charles A. Kaminski	Senior Vice President
Stephen P. Joyce	Senior Vice President
Marycatherine Yeagley	Senior Vice President
Edward J. Wiles, Jr.	Vice President, Counsel and Secretary
Thomas W. Casey	Vice President and Controller
John W. Attey	Vice President Counsel and Asst. Secretary
Laurence M. Richmond ¹	Vice President

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Officer and Director Information
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OFFICERS (CONTINUED)

<u>NAME</u>	<u>TITLE</u>
J. Michael Singleton ²	Vice President
Christopher M. Gregory ³	Vice President
Pamela A. Hughes	Vice President
Patricia C. Vaselakos ⁴	Vice President
Jeffrey I. Hugunin	Treasurer
Debora A. Ashworth	Assistant Vice President
Lester G. Gruner	Assistant Vice President
J. Neil McMurdie	Assistant Vice President and Associate Counsel
Julie M. Bodmer	Assistant Secretary
Karri J. Harrington	Assistant Secretary
Brian T. McAnaney ⁵	Assistant Secretary
Brenda Daglish	Assistant Treasurer

GNA Securities, Inc.
Officer and Director Information
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OFFICERS (CONTINUED)

<u>NAME</u>	<u>TITLE</u>
John Amato ⁶	Assistant Treasurer
Patricia M. Lecouras ⁶	Assistant Treasurer
Gary J. Schulman ⁶	Assistant Treasurer
William H. Brennan ⁶	Assistant Treasurer
Kenneth E. Kempson ⁶	Assistant Treasurer
Robert J. Buckley ⁶	Assistant Treasurer
Judith M. Van Cleave ⁷	Assistant Treasurer
Joseph Cook	Assistant Treasurer

Patrick E. Welch	Director
Victor C. Moses	Director
Geoffrey S. Stiff	Director

- 1 124 Mt. Auburn Street, Suite 200, Cambridge, MA 02138
- 2 8750 West Bryn Mawr, Suite 550, Chicago, IL 60631
- 3 1620 L Street NW, Suite 625, Washington, DC 20036
- 4 1810 Waterwood Drive, Arlington, TX 76012
- 5 260 Long Ridge Road, Stamford, CT 06927
- 6 777 Long Ridge Road, Stamford, CT 06927
- 7 P.O. Box 9550 Ft. Myers, FL 33906