

**2015 FOREIGN PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# P14270

**FILED**  
**Apr 27, 2015**  
**Secretary of State**  
**CC5391329770**

**Entity Name:** CAPITAL BROKERAGE CORPORATION

**Current Principal Place of Business:**

6620 WEST BROAD STREET  
RICHMOND, VA 23230

**Current Mailing Address:**

6620 WEST BROAD STREET  
BLDG. 1, LAW DEPT.  
RICHMOND, VA 23230 US

**FEI Number:** 91-1143830

**Certificate of Status Desired:** No

**Name and Address of Current Registered Agent:**

CORPORATION SERVICE COMPANY  
1201 HAYS STREET  
TALLAHASSEE, FL 32301 US

*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.*

**SIGNATURE:**

\_\_\_\_\_  
Electronic Signature of Registered Agent

\_\_\_\_\_  
Date

**Officer/Director Detail :**

Title DIRECTOR  
Name APOSTLE, II, JOHN G  
Address 6620 WEST BROAD STREET  
City-State-Zip: RICHMOND VA 23230

Title VICE PRESIDENT AND TREASURER  
Name WRIGHT, JEFFREY S  
Address 6620 WEST BROAD STREET  
City-State-Zip: RICHMOND VA 23230

Title VICE PRESIDENT AND SECRETARY  
Name TORRES, JR, VIDAL J  
Address 6620 WEST BROAD STREET  
City-State-Zip: RICHMOND VA 23230

Title DIRECTOR, SENIOR VICE PRESIDENT  
Name REEKS, SCOTT R  
Address 6620 WEST BROAD STREET  
City-State-Zip: RICHMOND VA 23230

Title D, VP  
Name DUFFY, THOMAS E  
Address 6620 WEST BROAD STREET  
City-State-Zip: RICHMOND VA 23230

Title DIRECTOR, PRESIDENT & CHIEF EXECUTIVE OFFICER  
Name HALEY, PAUL A  
Address 6620 WEST BROAD STREET  
City-State-Zip: RICHMOND VA 23230

Title SENIOR VICE PRESIDENT  
Name KLEIN, MARTIN P.  
Address 6620 WEST BROAD STREET  
City-State-Zip: RICHMOND VA 23230

Title SENIOR VICE PRESIDENT AND CHIEF COMPLIANCE OFFICER  
Name WOLFE, SCOTT E.  
Address 6620 WEST BROAD STREET  
City-State-Zip: RICHMOND VA 23230

**Continues on page 2**

*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

**SIGNATURE:** HOPE M. VAUGHAN

**ASSISTANT SECRETARY** 04/27/2015

\_\_\_\_\_  
Electronic Signature of Signing Officer/Director Detail

\_\_\_\_\_  
Date

**Officer/Director Detail Continued :**

Title ASSISTANT SECRETARY  
Name MYERS, THERESA A.  
Address 6620 WEST BROAD STREET  
City-State-Zip: RICHMOND VA 23230

Title ASSISTANT TREASURER  
Name TEPPER, EDWARD A  
Address 6620 WEST BROAD STREET  
City-State-Zip: RICHMOND VA 23230

Title ASSISTANT SECRETARY  
Name VAUGHAN, HOPE M  
Address 6620 WEST BROAD STREET  
City-State-Zip: RICHMOND VA 23230

Title ASSISTANT TREASURER  
Name EARLEY, JOSEPH C.  
Address 6620 WEST BROAD STREET  
City-State-Zip: RICHMOND VA 23230

Title FINANCIAL & OPERATIONS  
PRINCIPAL  
Name BAGNELL, LINDA C  
Address 6620 WEST BROAD STREET  
City-State-Zip: RICHMOND VA 23230

Title ASSISTANT TREASURER  
Name BALDYGA, LISA J  
Address 6620 WEST BROAD STREET  
BLDG. 1, LAW DEPT.  
City-State-Zip: RICHMOND VA 23230