2022 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# P14270

Entity Name: CAPITAL BROKERAGE CORPORATION

Current Principal Place of Business:

6620 WEST BROAD STREET RICHMOND, VA 23230

Current Mailing Address:

6620 WEST BROAD STREET BLDG. 1. LAW DEPT. RICHMOND, VA 23230 US

FEI Number: 91-1143830 Certificate of Status Desired: No.

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY 1201 HAYS STREET TALLAHASSEE, FL 32301 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

FILED Apr 28, 2022

Secretary of State

0191132336CC

Officer/Director Detail:

VP, GENERAL COUNSEL AND Title

DIRECTOR, PRESIDENT, CEO, SECRETARY, DIRECTOR CHAIRMAN OF THE BOARD

Title

Title

Name TORRES, JR, VIDAL J Name TABB, MARIO O.

6620 WEST BROAD STREET 6620 WEST BROAD STREET Address Address

City-State-Zip: City-State-Zip: RICHMOND VA 23230 RICHMOND VA 23230

FINANCIAL & OPERATIONS Title ASSISTANT SECRETARY Title

PRINCIPAL Name MYERS, THERESA A.

Name TURNER, BONNIE C 6620 WEST BROAD STREET Address

Address 6620 WEST BROAD STREET City-State-Zip: RICHMOND VA 23230

City-State-Zip: RICHMOND VA 23230

ASSISTANT SECRETARY Title

VAUGHAN, HOPE M Name Name BALDYGA, LISA J

Address 6620 WEST BROAD STREET Address 6620 WEST BROAD STREET

City-State-Zip: RICHMOND VA 23230 BLDG. 1, LAW DEPT.

City-State-Zip: RICHMOND VA 23230

Title SVP, CHIEF COMPLIANCE OFFICER

Title VICE PRESIDENT & ASSISTANT Name NAMORATO, JAMES J

TREASURER

TREASURER, VP

6620 WEST BROAD STREET Address Name PICHETTE, CRAIG L.

RICHMOND VA 23230 City-State-Zip: Address 6620 WEST BROAD STREET

> City-State-Zip: RICHMOND VA 23230

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I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

ASSISTANT SECRETARY 04/28/2022 SIGNATURE: HOPE M. VAUGHAN

Electronic Signature of Signing Officer/Director Detail

Date

Officer/Director Detail Continued:

Title VICE PRESIDENT AND ASSISTANT SECRETARY Title DIRECTOR

Name BEAM, JAMES D. Name ARMOR, M. BRANDON

Address 6620 WEST BROAD STREET Address 6620 WEST BROAD STREET

City-State-Zip: RICHMOND VA 23230 City-State-Zip: RICHMOND VA 23230