

# 2000 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # P14270

1. Entity Name

CAPITAL BROKERAGE CORPORATION

**FILED**  
**May 08, 2000 8:00 am**  
**Secretary of State**

05-08-2000 90175 038 \*\*\*150.00



DO NOT WRITE IN THIS SPACE

Principal Place of Business 6604 W BROAD ST RICHMOND VA 23230 US	Mailing Address 6604 W BROAD ST RICHMOND VA 23230-1702 US
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2. Principal Place of Business Suite, Apt. #, etc.	3. Mailing Address Suite, Apt. #, etc.
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City & State	City & State	4. FEI Number <b>91-1143830</b>	Applied For <input type="checkbox"/> Not Applicable
Zip	Country	Zip	Country

5. Certificate of Status Desired <input type="checkbox"/>	<b>\$8.75</b> Additional Fee Required
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**6. Name and Address of Current Registered Agent**

CORPORATION SERVICE COMPANY  
 1201 HAYS STREET  
 TALLAHASSEE FL 32301

**7. Name and Address of New Registered Agent**

Name  
 Street Address (P.O. Box Number is Not Acceptable)  
 City **FL** Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE \_\_\_\_\_ DATE \_\_\_\_\_  
Signature, typed or printed name of registered agent and title if applicable (NOTE: Registered Agent signature required when reinstating)

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so.   
 (See criteria on back)

**FILE NOW!!! FEE IS \$150.00**  
**After MAY 1, 2000 Fee will be \$550.00**  
**Make Check Payable to Department of State**

10. Election Campaign Financing Trust Fund Contribution.  **\$5.00** May Be Added to Fees

**11. OFFICERS AND DIRECTORS**

TITLE	VS	<input checked="" type="checkbox"/> Delete
NAME	WILES, EDWARD J JR	
STREET ADDRESS	777 LONG RIDGE RD	
CITY-ST-ZIP	STAMFORD CT 06927	
TITLE	VDI	<input type="checkbox"/> Delete
NAME	MOSES, VICTOR C	
STREET ADDRESS	601 UNION ST #5600	
CITY-ST-ZIP	SEATTLE WA	
TITLE	AS	<input type="checkbox"/> Delete
NAME	SULLIVAN, SHELLEY M	
STREET ADDRESS	6604 W BROAD ST	
CITY-ST-ZIP	RICHMOND VA 23230	
TITLE	P	<input type="checkbox"/> Delete
NAME	CURTIS, SCOTT A	
STREET ADDRESS	6610 W BROAD ST	
CITY-ST-ZIP	RICHMOND VA 23230	
TITLE	T	<input checked="" type="checkbox"/> Delete
NAME	HUGUNIN, JEFFREY I.	
STREET ADDRESS	6604 W BROAD ST	
CITY-ST-ZIP	RICHMOND VA 23230	
TITLE	VD	<input type="checkbox"/> Delete
NAME	STIFF, GEOGGREY S	
STREET ADDRESS	6610 W BROAD ST	
CITY-ST-ZIP	RICHMOND VA 23230	

**12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11**

TITLE	VS	<input checked="" type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
NAME	William E. Damer, Jr.	
STREET ADDRESS	6610 W. Broad St.	
CITY-ST-ZIP	Richmond, VA 23230	
TITLE		<input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	Theresa A. Myers	
STREET ADDRESS	601 Union St.	
CITY-ST-ZIP	Seattle, WA 98101	
TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	Gary T. Prizzia	
STREET ADDRESS	6625 W. Broad St.	
CITY-ST-ZIP	Richmond, VA 23230	
TITLE		<input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	Geoffrey S. Stiff	
STREET ADDRESS		
CITY-ST-ZIP		

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: Theresa A. Myers **Theresa A. Myers** 4/21/00 (804) 662-2695  
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #

CR2E034 (9/99)

# Officers and Directors

Current As Of: 03/24/2000

## Capital Brokerage Corporation

6604 West Broad Street  
Richmond, VA 23230

<u>Officer Name</u>	<u>Officer Title</u>	<u>Business Address:</u>
Curtis, Scott A.	President and Chief Executive Officer	6610 West Broad Street Richmond, VA 23230
Prizzia, Gary T.	Treasurer	6620 West Broad Street Richmond, VA 23230
Beck, David J.	Senior Vice President and Chief Investment Officer	601 Union Street, Suite 1300 Seattle, WA 98101
Casey, Thomas W.	Senior Vice President and Chief Financial Officer	6604 West Broad Street Richmond, VA 23230
Moses, Victor C.	Senior Vice President	601 Union Street Seattle, WA 98101
Stiff, Geoffrey S.	Senior Vice President	6610 West Broad Street Richmond, VA 23230
Yeagley, Manycatherine	Senior Vice President	601 Union Street Seattle, WA 98101
Bobitz, Ward E.	Vice President and Assistant Secretary	6604 West Broad Street Richmond, VA 23230
Daglish, Brenda	Vice President and Assistant Treasurer	6604 West Broad Street Richmond, VA 23230
Daner, William E., Jr.	Vice President, Counsel and Secretary	6610 West Broad Street Richmond, VA 23230
DeVos, Stephen N.	Vice President and Investment Officer	6604 West Broad Street Richmond, VA 23230
Fucci, Richard G.	Vice President and Controller	6604 West Broad Street Richmond, VA 23230

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# Officers and Directors

## Capital Brokerage Corporation

6604 West Broad Street  
Richmond, VA 23230

Current As Of: 03/24/2000

Reeks, Scott A.	Vice President and Assistant Treasurer	6610 West Broad Street Richmond, VA 23230
McAnaney, Brian T.	Assistant Secretary	260 Long Ridge Road Stamford, CT 06927
Myers, Theresa A.	Assistant Secretary	6604 West Broad Street Richmond, VA 23230
Sullivan, Shelley M.	Assistant Secretary	6604 West Broad Street Richmond, VA 23230
Williamson, W. Scott	Assistant Secretary	6610 West Broad Street Richmond, VA 23230
Amato, John	Assistant Treasurer	777 Long Ridge Road Stamford, CT 06927
Cassidy, Joseph T.	Assistant Treasurer	777 Long Ridge Road Stamford, CT 06927
Fiammetta, Donna M.	Assistant Treasurer	777 Long Ridge Road Stamford, CT 06927
Hyde, Jeffrey L.	Assistant Treasurer	777 Long Ridge Road Stamford, CT 06927
Jones, Sandra A.	Assistant Treasurer	6604 West Broad Street Richmond, VA 23230
Kempson, Kenneth E.	Assistant Treasurer	777 Long Ridge Road Stamford, CT 06927
Maw, Scott H.	Assistant Treasurer	6604 West Broad Street Richmond, VA 23230
Murphy, David R.	Assistant Treasurer	6620 West Broad Street Richmond, VA 23230

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# Officers and Directors

Current As Of: 03/24/2000

## **Capital Brokerage Corporation**

6604 West Broad Street  
Richmond, VA 23230

Aaron, Janet M.	Mortgage Investment Officer	601 Union Street Seattle, WA 98101
Bridgeport, Parker D.	Investment Officer	601 Union Street Seattle, WA 98101
Card, Gregory M.	Investment Officer	601 Union Street Seattle, WA 98101
Cook, Joseph E.	Investment Officer	601 Union Street Seattle, WA 98101
Lucia, Jon M.	Investment Officer	601 Union Street Seattle, WA 98101
Moers, Monian C.	Investment Officer	601 Union Street Seattle, WA 98101
Prellwitz, Pamela M.	Mortgage Investment Officer	601 Union Street, Suite 5600 Seattle, WA 98101
Sheehan, Daniel J., IV	Mortgage Investment Officer	601 Union Street Seattle, WA 23230
Tollefson, Marc W.	Investment Officer	601 Union Street Seattle, WA 98101
Towner, Deborah C.	Mortgage Investment Officer	601 Union Street Seattle, WA 98101
Vanic, Glen J.	Investment Officer	601 Union Street, Suite 1300 Seattle, WA 98101

### Directors:

Moses, Victor C.  
601 Union Street  
Seattle, WA 98101

### Business Address:

601 Union Street  
Seattle, WA 98101