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SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

**2003 FOR PROFIT CORPORATION  
UNIFORM BUSINESS REPORT (UBR)**

**DOCUMENT # P23603**

1. Entity Name  
**EAGLE STRATEGIES CORP.**



Principal Place of Business  
1180 AVENUE OF AMERICAS  
3RD FLOOR  
NEW YORK, NY 10036 US

Mailing Address  
51 MADISON AVE  
ROOM 2212  
NEW YORK, NY 10010 US

2. Principal Place of Business  
Suite, Apt. #, etc.

3. Mailing Address  
Suite, Apt. #, etc.

City & State

City & State

Zip Country

Zip Country

200022546602  
08/25/03--01007--013 \*\*150.00



CHECK HERE IF MAKING CHANGES **03**

4. FEI Number **13-3475906**

Applied For  
 Not Applicable

5. Certificate of Status Desired  **\$8.75** Additional Fee Required

6. Name and Address of Current Registered Agent

**C T CORPORATION SYSTEM  
1200 SOUTH PINE ISLAND RD.  
PLANTATION, FL 33324**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City **FL** Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE \_\_\_\_\_ DATE \_\_\_\_\_  
Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent's signature required when retaining)

**FILE NOW! FEES \$150.00**  
After May 1, 2003 Fee will be \$500.00  
Make Check Payable to Florida Department of State

9. Election Campaign Financing Trust Fund Contribution.  **\$5.00** May Be Added to Fees

| 10. OFFICERS AND DIRECTORS                     |                                                                                                             | 11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11 |                                                                                                                                       |
|------------------------------------------------|-------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|
| TITLE<br>NAME<br>STREET ADDRESS<br>CITY-ST-ZIP | PD<br>MATHAS, THEODORE<br>51 MADISON AVE<br>NEW YORK, NY <input type="checkbox"/> Delete                    | TITLE<br>NAME<br>STREET ADDRESS<br>CITY-ST-ZIP        | D<br>MATHAS, THEODORE<br>51 MADISON AVENUE, NY, NY 10010 <input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition |
| TITLE<br>NAME<br>STREET ADDRESS<br>CITY-ST-ZIP | V<br>ELISS, MITCHELL<br>335 MADISON AVENUE<br>NEW YORK, NY 10017 <input checked="" type="checkbox"/> Delete | TITLE<br>NAME<br>STREET ADDRESS<br>CITY-ST-ZIP        | P<br>LEAHY, MICHAEL<br>335 MADISON AVE, NY, NY 10010 <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition     |
| TITLE<br>NAME<br>STREET ADDRESS<br>CITY-ST-ZIP | V<br>O'BYRNE, JOHN H<br>61 MADISON AVENUE<br>NEW YORK, NY <input checked="" type="checkbox"/> Delete        | TITLE<br>NAME<br>STREET ADDRESS<br>CITY-ST-ZIP        | AS<br>MEIROWITZ, MARK<br>51 MADISON AVENUE, NY, NY 10010 <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition |
| TITLE<br>NAME<br>STREET ADDRESS<br>CITY-ST-ZIP | T<br>CALHOUN, JAY S<br>51 MADISON AVENUE<br>NEW YORK, NY <input type="checkbox"/> Delete                    | TITLE<br>NAME<br>STREET ADDRESS<br>CITY-ST-ZIP        | S<br>GOMEZ, MARK A.<br>51 MADISON AVENUE, NY, NY 10010 <input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition   |
| TITLE<br>NAME<br>STREET ADDRESS<br>CITY-ST-ZIP | AS<br>MARRION, CATHERINE<br>51 MADISON AVE<br>NEW YORK, NY 10010 <input checked="" type="checkbox"/> Delete | TITLE<br>NAME<br>STREET ADDRESS<br>CITY-ST-ZIP        | <input type="checkbox"/> Change <input type="checkbox"/> Addition                                                                     |
| TITLE<br>NAME<br>STREET ADDRESS<br>CITY-ST-ZIP | Y<br>WARGA, THOMAS<br>61 MADISON AVENUE<br>NEW YORK, NY 10010 <input checked="" type="checkbox"/> Delete    | TITLE<br>NAME<br>STREET ADDRESS<br>CITY-ST-ZIP        | <input type="checkbox"/> Change <input type="checkbox"/> Addition                                                                     |

**PLEASE SEE ATTACHED LIST OF  
OFFICERS AND DIRECTORS**

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: Mark Meirowitz **MARK MEIROWITZ**  
ASSISTANT SECRETARY

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #

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## **Eagle Strategies Corp.**

List of Directors and Officers as of April 30, 2003

### **Directors**

John A. Cullen  
Solomon Goldfinger  
Phillip J. Hildebrand  
Maryann Ingenito  
Theodore A. Mathas  
Anne F. Pollack  
Gerard A. Rocchi  
Stephen C. Roussin\*  
Frederick J. Sievert

### **Officers**

|                      |                                                      |
|----------------------|------------------------------------------------------|
| Gerard A. Rocchi     | Chairman                                             |
| Michael Leahy**      | President & Chief Executive Officer                  |
| Daniel J. Young      | Executive Vice President and Chief of Staff          |
| Jay S. Calhoun       | Senior Vice President & Treasurer                    |
| Barbara McInerney    | Senior Vice President & Chief Compliance Officer     |
| Nicholas M. Franki   | Vice President and Chief Operating Officer           |
| Mark A. Gomez        | Vice President and Secretary                         |
| Maryann Ingenito     | Vice President                                       |
| Richard W. Zuccaro   | Vice President                                       |
| Yasser Abdelfattah** | Corporate Vice President                             |
| Rafaela M. Herrera   | Corporate Vice President                             |
| Thomas J. Murray     | Corporate Vice President                             |
| Joseph W. O'Neill    | Corporate Vice President and Chief Financial Officer |
| Joseph Hirsch        | Assistant Vice President                             |
| Patricia Loftus      | Assistant Vice President and Controller              |
| Stephen Brennan      | Assistant Secretary                                  |
| Maurya C. Keating    | Assistant Secretary                                  |
| Mark Meirowitz       | Assistant Secretary                                  |

Address: 51 Madison Avenue  
New York, New York

\* 169 Lackawanna Avenue  
Parsippany, New Jersey

\*\* 335 Madison Avenue  
New York, New York