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PROFIT CORPORATION ANNUAL REPORT



FLORIDA DEPARTMENT OF STATE

Sandra B. Mortham

Secretary of State
DIVISION OF CORPORATIONS

1997

DOCUMENT # P23603

(4)

FILED Mar 12 1997 8:00am Secretary of State

1. Corporation Name EAGLE STRATEGIES CORP. Princ pal Place of Business Mailing Address 260 CHERRY HILL RD. PARSIPPANY NJ 07054 ROOM 2210 NEW YORK NY 10010-1603											
		US					 Date incorporated or Qualified 03/28/1989 		te of La /20/19		ori
2. Principal Piace of Business 260 Cherry Hill Road Sute, Apr. #, etc. 2 City & State Parsippany, NJ		2a. Mailing Address				4	4. FEI Number 13-3475906		}		od For
		26 51 Madison Avenue Suite, Apt. #, etc. 27 Room 2210 City & State New York, NY						\$9.75		pplicable	
						5. Certificate of Status Desired		Fee Re			
						Election Campaign Financing Trust Fund Contribution		\$5.00 May Be Added to Fees			
707054	[23]	29 10010	30 US	ountry A	1	ĺ		Yes 🛭	No No	ers. 19	9.032,
9. Name and Address of Current Registered Agent					-	10	D. Name and Address of New R	legistered /	Agent		
	E PRENTICE-HALL CORPORAT	ion system, inc.		81	Name						
	01 HAYS STREET IITE 105			62	Street A	Address (P.O. Box Number is Not Acceptable)					
TALLAHASSEE FL 32301				83				-1/1			
	-			84	City				les l	Zip Cod	<u></u>
				[City			FL			
agent La	to the provisions of Sections 607.08 registered agent, or both, in the Stater familiar with, and accept the obli	502 and 607.1508, Florida State of Florida. Such change with galvons of, Section 607.0505.	atutes, the as authorize, Florida St	above ed by atutes	e-named of the corp	corporat coration's	ion submits this statement for the board of directors. I hereby according	purpose of ept the app	changi ointmen	ng its re t as reg	egistered iistered
agent Ta SIGNATURE 12.	Service by the province of equivers A	igations of, Section 607.0505.	NOTE: Register	ed Age	ent signature	required wh	nen reinstating) ADDITIONS/CHANGES TO OFF	DATE		TORS II	
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14. Too nereby certify that the information supplied with this bling does not quality for the exemption stated in Section 119,07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTO

JOHN H. O'BYNE

135/92 A12 5767 Dayline Phone # 6556

0004837

Eagle Strategies Corp. (formerly NYLIFE Advisers, Inc.; name changed 01/03/1994)

260 Cherry Hill Road, Parsippany, NJ 07054 Tele: (201) 331-2029 Fax: (201) 331-2314

Incorporated State: Arizona
Incorporated Date: 07/07/1988
Business Division: Subsidiary

Parent Entity: NYLIFE Inc. (100%)

Federal ID #: 13-3475906

Business Contact(s): Jefferson C. Boyce, President, Parsippany office, ext. 842456

General Attorney: Sheila K. Davidson, ext. 5353 Corporate Attorney: Nancy Brenner, ext. 5737

Directors Title

Jefferson C. Boyce Director

Alice T. Kane Director

Officers Title

Theodore Mathas President and Chief Operating Officer-Investment Advisor

Deborah H. Blyn Vice President

Jay S. Calhoun Vice President and Treasurer

Daniel A. Hudacek Vice President

John H. O'Byrne Vice President and Chief Compliance Officer Anthony Polis Vice President and Chief Financial Officer

Thomas J. Warga Vice President and General Auditor

Gregory H. Webster Vice President and Chief Operating Officer-Broker Dealer

Louis H. Adasse Corporate Vice President
William Elliott Corporate Vice President
Thomas J. Murray Compliance Officer

A. Thomas Smith III Secretary

Nancy Brenner Assistant Secretary
Mark A. Gomez Legal Officer

Brief Description:

A corporation and subsidiary of NYLIFE Inc., Eagle Strategies Corp. is currently registered with the SEC and 33 states as an investment adviser.