

# 2009 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# P24886

FILED  
Jun 18, 2009  
Secretary of State

Entity Name: ROYAL ALLIANCE ASSOCIATES, INC.

## Current Principal Place of Business:

ONE WORLD FINANCIAL CENTER  
15TH FLOOR  
NEW YORK, NY 10281 US

## New Principal Place of Business:

ONE WORLD FINANCIAL CENTER  
200 LIBERTY STREET, 15TH FLOOR  
NEW YORK, NY 10281 US

## Current Mailing Address:

ONE WORLD FINANCIAL CENTER  
15TH FLOOR  
NEW YORK, NY 10281 US

## New Mailing Address:

ONE WORLD FINANCIAL CENTER  
200 LIBERTY STREET, 15TH FLOOR  
NEW YORK, NY 10281 US

FEI Number: 93-0987232

FEI Number Applied For ( )

FEI Number Not Applicable ( )

Certificate of Status Desired ( )

## Name and Address of Current Registered Agent:

CT CORPORATION SYSTEM  
1200 S. PINE ISLAND ROAD  
PLANTATION, FL 33324 US

## Name and Address of New Registered Agent:

CORPORATION SERVICE COMPANY  
1201 HAYS STREET  
TALLAHASSEE, FL 32301 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: HEATHER CHAPMAN

06/18/2009

Electronic Signature of Registered Agent

Date

In accordance with s. 607.193(2)(b), F.S., the corporation did not receive the prior notice.

Election Campaign Financing Trust Fund Contribution ( ).

## OFFICERS AND DIRECTORS:

Title: P ( ) Delete  
Name: TAMBARO, ART  
Address: ONE WORLD FINANCIAL CENTER, 15TH FL.  
City-St-Zip: NEW YORK, NY 10281

Title: S ( ) Delete  
Name: CURLEY, GREG  
Address: ONE WORLD FINANCIAL CENTER, 15TH FL.  
City-St-Zip: NEW YORK, NY 10281

Title: T ( ) Delete  
Name: ROTHSTEIN, STEVEN  
Address: ONE WORLD FINANCIAL CENTER, 15TH FL.  
City-St-Zip: NEW YORK, NY 10281

Title: D ( ) Delete  
Name: QUINN, MARK  
Address: ONE WORLD FINANCIAL CENTER, 15TH FL.  
City-St-Zip: NEW YORK, NY 10281

Title: VP ( ) Delete  
Name: MAYOL, NOEMI  
Address: ONE WORLD FINANCIAL CENTER, 15TH FL.  
City-St-Zip: NEW YORK, NY 10281

Title: ( ) Delete  
Name:  
Address:  
City-St-Zip:

## ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS:

Title: DP (X) Change ( ) Addition  
Name: TAMBARO, ARTHUR M  
Address: 200 LIBERTY STREET, 15TH FL  
City-St-Zip: NEW YORK, NY 10281

Title: AS (X) Change ( ) Addition  
Name: CURLEY, GREGORY M  
Address: 200 LIBERTY STREET, 15TH FL  
City-St-Zip: NEW YORK, NY 10281

Title: VP (X) Change ( ) Addition  
Name: WILLIAMS, DANIEL O  
Address: 200 LIBERTY STREET, 15TH FL  
City-St-Zip: NEW YORK, NY 10281

Title: CEO (X) Change ( ) Addition  
Name: ROTH, R LAWRENCE  
Address: 200 LIBERTY STREET, 15TH FL  
City-St-Zip: NEW YORK, NY 10281

Title: DCFO (X) Change ( ) Addition  
Name: ROTHSTEIN, STEVEN E  
Address: 200 LIBERTY STREET, 15TH FL  
City-St-Zip: NEW YORK, NY 10281

Title: D ( ) Change (X) Addition  
Name: SORKIN, NOAH D  
Address: 200 LIBERTY STREET, 15TH FL  
City-St-Zip: NEW YORK, NY 10281

I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with an address, with all other like empowered.

SIGNATURE: GREGORY M. CURLEY

AS

06/18/2009

Electronic Signature of Signing Officer or Director

Date